FORM PTO-1390 (REV. 11-2000) U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	0226-0110P
	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/763128 NEW

| INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/SE00/00158	January 26, 2000	October 1, 1999

TITLE OF INVENTION
EFFICIENT SPECTRAL ENVELOPE CODING USING VARIABLE TIME/FREQUENCY RESOLUTION AND *

APPLICANT(S) FOR DO/EO/US
LILJERYD, Lars; KJORLING, Kristofer; EKSTRAND, Per; HENN, Fredrik

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
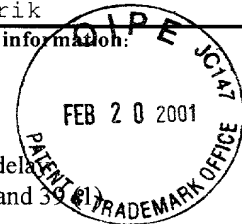
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   - b. ☒ has been transmitted by the International Bureau.
   - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   - a. ☒ is transmitted herewith.
   - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
   - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   - b. ☐ have been transmitted by the International Bureau.
   - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98-International Search Report (PCT/ISA/210) with documents
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
    1.) PCT Request

*TIME/FREQUENCY SWITCHING

.S. APPLICATION NO (if known, see 37 CFR 1)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER
09/763128 NEW	PCT/SE00/00158	0226-0110P

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):

	CALCULATIONS	PTO USE ONLY

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. $1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO $860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. $710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) $690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4). $100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = $ 1000.00

Surcharge of **$130.00** for furnishing the oath or declaration later than ☒ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). $ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	17 - 20 =	0	X $18.00	$ 0	
Independent Claims	3 - 3 =	0	X $80.00	$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	None		+ $270.00	$ 0	

TOTAL OF ABOVE CALCULATIONS =	$ 1130.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	$ 0	
SUBTOTAL =	$ 1130.00	
Processing fee of **$130.00** for furnishing the English translation later than ☐20 ☐30 months from the earliest claimed priority date (37 CFR 1.492(f)). +	$ 0	
TOTAL NATIONAL FEE =	$ 1130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +	$ 0	
TOTAL FEES ENCLOSED =	$ 1130.00	
	Amount to be: refunded	$
	charged	$

a. ☒ A check in the amount of $ **1130.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account. No. _____ in the amount of $_____ to cover the above fees.
   A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **02-2448**.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:
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Date: **February 20, 2001**

By _____
Terrell C. Birch, #19,382

/cqc

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: LILJERYD, Lars et al.   Conf.:

Int'l. Appl. No.:   PCT/SE00/00158

Appl. No.:   New   Group:

Filed: February 20, 2001   Examiner:

For: EFFICIENT ENVELOPE CODING USING VARIABLE
                    TIME/FREQUENCY RESOLUTION AND TIME/FREQUENCY
                    SWITCHING

PRELIMINARY AMENDMENT

BOX PATENT APPLICATION
Assistant Commissioner for Patents   February 20, 2001
Washington, DC 20231

Sir:

The following Preliminary Amendments and Remarks are respectfully submitted in connection with the above-identified application.

AMENDMENTS

IN THE SPECIFICATION:

Please amend the specification as follows:

Before line 1, insert --This application is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/SE00/00158 which has an International filing date of January 26, 2000, which designated the United States of America.--

IN THE CLAIMS:

Please amend the claims as follows:

Please add the following new claims:

REMARKS

The specification has been amended to provide a cross-

reference to the previously filed International Application. The claims have also been amended to delete multiple dependencies and to place the application into better form for examination. Entry of the present amendment and favorable action on the above-identified application are earnestly solicited.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

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(Rev. 01/22/01)

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EFFICIENT SPECTRAL ENVELOPE CODING USING VARIABLE TIME/FREQUENCY RESOLUTION AND TIME/FREQUENCY SWITCHING

TECHNICAL FIELD

5   The present invention relates to a new method and apparatus for efficient coding of spectral envelopes in audio coding systems. The method may be used both for natural audio coding and speech coding and is especially suited for coders using SBR [WO 98/57436] or other high frequency reconstruction methods.

BACKGROUND OF THE INVENTION

10   Audio source coding techniques can be divided into two classes: natural audio coding and speech coding. Natural audio coding is commonly used for music or arbitrary signals at medium bitrates, and generally offers wide audio bandwidth. Speech coders are basically limited to speech reproduction but can on the other hand be used at very low bitrates, albeit with low audio bandwidth. In both classes, the signal is generally separated into two major signal components, the "spectral envelope" and the corresponding "residual" signal. Throughout the following description, the term "spectral envelope" refers to the coarse

15   spectral distribution of the signal in a general sense, e.g. filter coefficients in an linear prediction based coder or a set of time-frequency averages of subband samples in a subband coder. The term "residual" refers to the fine spectral distribution in a general sense, e.g. the LPC error signal or subband samples normalized using the above time-frequency averages. "Envelope data" refers to the quantized and coded spectral envelope, and "residual data" to the quantized and coded residual. At medium and high bitrates,

20   the residual data constitutes the main part of the bitstream. At very low bitrates, the envelope data constitutes a larger part of the bitstream. Hence, it is indeed important to represent the spectral envelope compactly when using lower bitrates.

25   Prior art audio coders and most speech coders use constant length, relatively short, time segments in the generation of envelope data to achieve good temporal resolution. However, this prevents optimal utilisation of the frequency domain masking known from psycho-acoustics. To improve coding gain through the use of narrow filterbands with steep slopes, and still achieve good temporal resolution during transient passages, modern audio coders employ adaptive window switching, i.e. they switch time

30   segment lengths depending on the signals statistics. Clearly a minimum usage of the short segments is a prerequisite for maximum coding gain. Unfortunately, long transition windows are needed to alter the segment lengths, limiting the switching flexibility.

The spectral envelope is a function of two variables: time and frequency. The encoding can be done by

35   exploiting redundancy in either direction of the time/frequency plane. Generally, coding of the spectral envelope is performed in the frequency direction, using delta coding (DPCM) or vector quantization (VQ).

SUMMARY OF THE INVENTION

The present invention provides a new method, and an apparatus for spectral envelope coding. The coding scheme is designed to meet the special requirements of systems, where the residual signal within certain frequency regions is excluded from the transmitted data. Examples are systems employing HFR (High Frequency Reconstruction), in particular SBR (Spectral Band Replication), or parametric coders. In one implementation, non-uniform time and frequency sampling of the spectral envelope is obtained by adaptively grouping subband samples from a fixed size filterbank, into frequency bands and time segments, each of which generates one envelope sample. This allows instantaneous selection of arbitrary time and frequency resolution within the limits of the filterbank. The system defaults to long time segments and high frequency resolution. In the vicinity of transients, shorter time segments are used, whereby larger frequency steps can be used in order to keep the data size within limits. In order to maximize the benefits of the non-uniform sampling in time, variable length of bitstream frames or granules are used. The variable time/frequency resolution method is also applicable on envelope encoding based on prediction. Instead of grouping of subband samples, predictor coefficients are generated for time segments of varying lengths according to the system.

The invention describes two schemes for signalling of the time and frequency resolution used. The first scheme allows arbitrary selection, by explicit signalling of time segment borders and frequency resolutions. In order to reduce the signalling overhead, four classes of granules are used, offering different cost/flexibility tradeoffs. The second scheme exploits the property of a typical programme material, that transients are separated at least by a time T_{nmin}, in order to reduce the number of control bits further. Hereby, a transient detector in the encoder, operating on a time interval $T_{det} <= T_{nmin}$, equal to the nominal granule length, determines the position of the onset of a possible transient. The position within the interval is encoded and sent to the decoder. The encoder and decoder share rules that specify the time/frequency distribution of the spectral envelope samples, given a certain combination of subsequent control signals, ensuring an unambiguous decoding of the envelope data.

The present invention presents a new and efficient method for scalefactor redundancy coding. A dirac pulse in the time domain transforms to a constant in the frequency domain, and a dirac in the frequency domain, i.e. a single sinusoid, corresponds to a signal with constant magnitude in the time domain. Simplified, on a short term basis, the signal shows less variations in one domain than the other. Hence, using prediction or delta coding, coding efficiency is increased if the spectral envelope is coded in either time- or frequency-direction depending on the signal characteristics.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will now be described by way of illustrative examples, not limiting the scope or spirit of the invention, with reference to the accompanying drawings, in which:

Figs. 1a - 1b illustrate uniform respective non-uniform sampling in time of the spectral envelope.

Figs. 2a - 2b define, and illustrate usage of four classes of granules.

Figs. 3a - 3b are two examples of granules, and the corresponding control signals.

Figs. 4a - 4c illustrate the position signalling system.

Fig. 5 illustrates time/frequency switched delta coding.

Fig. 6 is a block diagram of an encoder using the envelope coding according to the invention.

Fig. 7 is a block diagram of a decoder using the envelope coding according to the invention.

DESCRIPTION OF PREFERRED EMBODIMENTS

The below-described embodiments are merely illustrative for the principles of the present invention for efficient envelope coding. It is understood that modifications and variations of the arrangements and the details described herein will be apparent to others skilled in the art. It is the intent, therefore, to be limited only by the scope of the impending patent claims and not by the specific details presented by way of description and explanation of the embodiments herein.

Generation of Envelope Data

Most audio and speech coders have in common that both envelope data and residual data are transmitted and combined during the synthesis at the decoder. Two exceptions are coders employing PNS ["Improving Audio Codecs by Noise Substitution", D. Schultz, JAES, vol. 44, no. 7/8, 1996], and coders employing SBR. In case of SBR, considering the highband, only the spectral coarse structure needs to be transmitted since a residual signal is reconstructed from the lowband. This puts higher demands on how to generate envelope data, in particular due to lack of "timing" information contained in the original residual signal. This problem will now be demonstrated by means of an example:

Fig. 1 shows the time/frequency representation of a musical signal where sustained chords are combined with sharp transients with mainly high frequency contents. In the lowband the chords have high power and the transient power is low, whereas the opposite is true in the highband. The envelope data that is generated during time intervals where transients are present is dominated by the high intermittent transient power. At the SBR process in the decoder, the spectral envelope of the transposed signal is estimated using the same instantaneous time- /frequency resolution as used for the analysis of the original highband. An equalization of the transposed signal is then performed, based on dissimilarities in the

spectral envelopes. E.g. amplification factors in an envelope adjusting filterbank are calculated as the square root of the quotients between original signal and transposed signal average power. For this kind of signal, a problem arises: The transposed signal has the same "chord-to-transient" power ratio as the lowband. The gains needed in order to adjust the transposed transients to the correct level thus cause the

5   transposed chords to be amplified relative to the original highband level for the full duration of the envelope data containing transient energy. These momentarily too loud chord fragments are perceived as pre- and post echoes to the transient, see Fig. 1a. This kind of distortion will hereinafter be referred to as "gain induced pre- and post echoes". The phenomenon can be eliminated by constantly updating the envelope data at such a high rate that the time between an update and an arbitrarily located transient is

10   guaranteed to be short enough not to be resolved by the human hearing. However, this approach would drastically increase the amount of data to be transmitted and is thus not feasible.

Therefore a new envelope data generation scheme is presented. The solution is to maintain a low update rate during tonal passages, which make up the major parts of a typical programme material, and by means

15   of a transient detector localize the transient positions, and update the envelope data close to the leading flanks, see Fig 1b. This eliminates gain induced pre-echoes. In order to represent the decay of the transients well, the update rate is momentarily increased in a time interval after the transient start. This eliminates gain induced post-echoes. The time segmenting during the decay is not as crucial as finding the start of the transient, as will be explained later. In order to compensate for the smaller time steps,

20   larger frequency steps can be used during the transient, keeping the data size within limits. A non-uniform sampling in time and frequency as outlined above is applicable both on filterbank- and linear prediction-based envelope coding. Different predictor orders may be used for transient and quasi-stationary (tonal) segments.

25   In case of prediction based coders, no elaborate time/frequency resolution switching schemes are known from prior art. However, some filterbank based coders employ variable time/frequency resolution. This is commonly achieved through switching of the filterbank size. Such a change in size can not take place immediately, so called transition windows are required, and thus the update points can not be chosen freely. When using SBR or any other HFR method, the objective is different – a filterbank can be

30   designed to meet both the highest temporal and highest frequency resolution needed, to extract an adequate envelope representation. Thus, the non-uniform time and frequency sampling of the spectral envelope, can be obtained by adaptive grouping of the subband samples from a fixed size filterbank, into "frequency bands" and "time segments". One envelope sample is then calculated per band and segment. Throughout the description below, "frequency resolution" refers to a specific set of frequency bands, LPC

35   coefficients or similar, used in the envelope estimate for a particular time segment. In other words, from an envelope coding perspective, high frequency resolution or high time resolution can be obtained instantaneously.

From a syntactical point of view, all practical codec bitstreams comprise data periods, each of which corresponds to a short time segment of the input signal. The time segment associated with such a data period, is hereinafter referred to as a "granule". Typical coders use granules of fixed length. The presence of granule boundaries imposes constraints on the design of the time segments used for envelope estimation. The algorithm that generates these time segments, may state that a segment "border" is required at a particular location, and that the subsequent segment should have a certain length. However, if a granule boundary falls within this interval due to fixed length granules, the segment must be split into two parts. This has two implications: First, the number of segments to encode increases, possibly increasing the amount of data to transmit. Second, forced borders may generate segments that are too short for reliable average power estimates. In order to avoid those shortcomings, the present invention uses variable length granules. This requires look-ahead in the encoder, as well as extra buffering in the decoder.

Let the term "grid" denote the time segments and the corresponding frequency resolutions to use for a particular signal, and "local grid" denote the grid of one granule. Clearly, the grid must be signalled to the decoder for correct decoding of the envelope samples. However, in low bitrate applications the number of bits for this "control signal" must be kept at a minimum. Two signalling schemes are proposed in the present invention. Prior to describing them in detail, a "baseline system" and some design criteria are established.

Let the time quantization step for the spectral envelope be T_q. Those steps may be viewed as "subgranules", which are grouped into the aforementioned time segments. In the general case, a granule comprises of S subgranules, where S varies from granule to granule. The number of possible segment combinations within a granule, ranging from one segment for the entire granule to S segments, is given by

$$C = \sum_{n=0}^{S} \binom{S}{n} = 2^S \qquad \text{(Eq 1)}$$

In order to signal C states, $ceil\,(\,ln_2\,(C)\,) = ceil\,(\,ln_2\,(\,2^S\,)\,) = S$ bits are required, corresponding to one bit per subgranule. An arbitrary subdivision of the granule can be signalled by $S - 1$ bits, representing the consecutive subgranules, stating whether a leading segment border is present at the corresponding subgranule or not. (The first and last granule borders need not be signalled here.) Since S is variable it must be signalled, and if this scheme is combined with a fixed length granule lowband codec, the position relative the constant length granules must be signalled as well. The segment frequency resolutions can be signalled with dynamically allocated control bits, e.g. one bit per segment. Clearly, such a straight forward method may lead to an unacceptable high number of control signal bits.

As will be shown below, many of the states described by Eq. 1 are not very likely, and would also generate too large amounts of envelope data to be practical at a limited bitrate.

The minimum time-span between consecutive transients in music programme material can be estimated in the following way: In musical notation, the rhythmic "pulse" is described by a time signature expressed as a fraction A/B, where A denotes the number of "beats" per bar and $1/B$ is the type of note corresponding to one beat, for example a 1/4 note, commonly referred to as a quarter note. Let t denote the tempo in Beats Per Minute (BPM). The time per note of type $1/C$ is then given by

$$T_n = (\,60\,/\,t\,) * (\,B\,/\,C\,) \text{ [s]} \qquad\qquad \text{(Eq 2)}$$

Most music pieces fall within the 70 – 160 BPM range, and in 4/4 time signature the fastest rhythmical patterns are for most practical cases made up from 1/32 or 32:nd notes. This yields a minimum time $T_{nmin} = (\,60\,/\,160\,) * (\,4\,/\,32\,) = 47$ ms. Of course lower time periods than this may occur, but such fast sequences (> 21 events per second) almost get the character of buzz and need not be fully resolved.

The necessary time resolution T_q must also be established. In some cases a transient signal has its main energy in the highband to be reconstructed. This means that the encoded spectral envelope must carry all the "timing" information. The desired timing precision thus determines the resolution needed for encoding of leading flanks. T_q is much smaller than the minimum note period T_{nmin}, since small time deviations within the period clearly can be heard. In most cases however, the transient has significant energy in the lowband. The above described gain-induced pre-echoes must fall within the so called pre- or backward masking time T_m of the human auditory system in order to be inaudible. Hence T_q must satisfy two conditions:

$$T_q \ll T_{nmin} \qquad\qquad \text{(Eq 3)}$$

$$T_q < T_m \qquad\qquad \text{(Eq 4)}$$

Obviously $T_m < T_{nmin}$ (otherwise the notes would be so fast that they could not be resolved) and according to ["Modeling the Additivity of Nonsimultaneous Masking", Hearing Res., vol. 80, pp. 105-118 (1994)], T_m amounts to 10-20 ms. Since T_{nmin} is in the 50ms range, a reasonable selection of T_q according to Eq 3 results in that the second condition is also met. Of course the precision of the transient detection in the encoder and the time resolution of the analysis/synthesis filterbank must also be considered when selecting T_q.

Tracking of trailing flanks is less crucial, for several reasons: First, the note-off position has little or no effect on the perceived rhythm. Second, most instruments do not exhibit sharp trailing flanks, but rather a smooth decay curve, i.e. a well defined note-off time does not exist. Third, the post- or forward masking time is substantially longer than the pre-masking time.

5

To summarize, the following simplifications can be made with no or little sacrifice of quality for practical signals:

1. Only the transient start position needs to be transmitted with the highest precision T_q.

10   2. Only transients separated by $T_p >> T_q$ need to be fully resolved in the envelope data.

In order to reduce the signalling overhead, both systems according to the present invention employ two time sampling modes; uniform and non-uniform sampling in time. The uniform mode is used during quasi-stationary passages, whereby fixed length segments are used, and little extra signalling is required. In the vicinity of transients, the system switches to non-uniform operation and granules of variable length are used, enabling a good fit to the ideal global grid.

Class Signalling System

In the first system the granules are divided into four classes, and the control signals are tailored towards the specific needs of each class. The classes are defined in Fig. 2a. Class "FixFix" corresponds to conventional constant length granules. Class "FixVar" has a movable stop boundary, which allows the granule length to vary. Class "VarFix" has a variable start boundary, whereas the stop border is fixed. The last class, "VarVar", has variable boundaries at both ends. All variable boundaries can be offset –a / +b versus the "nominal positions".

25

Fig 2b gives an example of a sequence of granules. The system defaults to class FixFix. A transient detector (or psycho-acoustical model) operates on a time region ahead of the current granule, as outlined in the figure. When a transient is detected, a class FixVar granule is used - the system switches from uniform to non-uniform operation. Typically, this granule is followed by a class VarFix granule, since transients most of the time are separated by a number of granules for all practical selections of granule lengths. In case of transients in consecutive frames, the VarVar class frames may be used.

Fig 3a is an example of a class FixVar - VarFix pair, and the corresponding control signal. One transient is present, and the leading flank (quantized to T_q) is denoted by t. The first part of the bitstream is the "class" signal. Since four classes are used, two bits are used for this signal. In case of FixVar or VarFix

classes, the next signal describes the location of the variable boundary, expressed as the offset from the nominal position. This boundary is referred to as the "absolute border". The segment borders within the granules are described by means of "relative borders": The absolute border is used as a reference, and the other borders are described as cumulative distances to the reference. The number of relative borders is variable, and is signalled to the decoder, after the absolute border. A zero number means that the granule comprises one time segment only. Thus, in case of class FixVar, the segment lengths are signalled in a reversed sequence, moving away from the absolute border at the end of the granule. The length of the first segment in a FixVar granule is derived from the relative borders and the total length, and is not signalled. Class VarFix relative border signals are inserted into the bitsream in a forward sequence, whereby the last segment length is excluded. The bitstream signal order is identical to that of class FixVar, that is: [class, abs. border, number of rel. borders, rel. border 0, rel. border 1, ..., rel. border N - 1] In the figure, the signals are shown in "clear text" instead of the actual binary code words sent in the bitstream.

Fig 3b shows an alternative coding of the signal. The variable boundary offers versatility when grouping the segments at a given global grid. Thus some payload control can be performed at this level, e.g. to equalize the number of bits per granule. This may ease the operation of the lowband encoder. Given enough look-ahead, a multipass encoding can be performed, and the optimum combination of local grids be used.

In order to reduce the symbol set for signalling of relative borders, and thereby the number of bits per symbol, those lengths can be quantized to an integer multiple (>1) of T_q, if the absolute border has the precision T_q. In this case the absolute border, in addition to the above function, serves to align a group of borders around the transient with the precision T_q. In other words, the highest precision is always available for coding of transient leading flanks, and a coarser resolution is used in the tracking of the decay.

The VarVar class frames use a combination of the FixVar and VarFix signalling, e.g. interleaved: [class, abs. bord. left, d:o right, num. rel. bord left, d:o right, [rel. bord. left 0,..., rel. bord. left N - 1], [d:o right]]. This class offers the greatest flexibility in the local grid selection, at the cost of an increased signalling overhead. Finally, the FixFix class does not require other signals than the class signal per se, in which case for example two (equal length) segments are used. However, it is feasible to add a signal that enables selection within a set of predefined grids. For example, the spectral envelope can be calculated for two segments, and if the two envelopes do not differ more than a certain amount, only one set of envelope data is sent.

So far, only the segmenting in time has been described. For many reasons, it may be desirable to signal to the decoder which of the borders that corresponds to a transient leading edge. This can be accomplished by sending a "pointer" that points to the relevant border. The reference direction can follow that of the relative borders, and a zero value imply that no transient start is present within the current granule. Furthermore, the frequency resolution (number of power estimates or predictor order) used for the individual segments must also be defined. This can be signalled explicitly, as in the "baseline system", or implicitely, i.e. the resolution is coupled to the segment lengths, and possibly the pointer position.

When using error prone transmission channels, it is important to avoid error propagation. In the above system, the local grid is fully described by the control signal of the corresponding granule. Hence, no inter-frame dependencies exist in the control signal. This means that the granule boundaries are "overencoded", since the granule intersections are signalled in both consecutive granules. This redundancy can be used for simple error detection – if the borders do not match up, a transmission error has occurred, and error concealment could be activated.

Position Signalling System

The second system, hereinafter referred to as the "position-signalling system", is intended for very low bitrate applications. The previously established design rules are used to a greater extent, in order to reduce the number of control signal bits even further. According to the present invention, the transient start information can be used for implicit signalling of segment borders and frequency resolutions in the vicinity of transients. This will now be described, assuming a nominal granule size of N subgranules, selected according to $NT_q <= T_{nmin}$, i.e. a maximum of one transient is likely to occur within a granule, see Fig. 4a, where $N = 8$. A transient detector, operating on intervals of length N, located $N / 2$ ahead of the current granule, is employed, Fig. 4b. When a transient is detected, a flag associated with this region is set. In the example, the transient detector has detected a transient in subgranule 2 at time $n - 1$, and a transient in subgranule 3 at time n. These positions, $pos(n - 1)$ and $pos(n)$, as well as the corresponding flags, $flag(n - 1)$ and $flag(n)$, are used as input to the grid generation algorithm, and the corresponding local grid for granule n might be as shown in Fig. 4c. As seen from the figure, subgranule 3 of the granule at time $n - 1$ is included in the time/frequency grid of granule n. The only signals fed to the bitstream, are $flag(n)$ [1 bit], and $pos(n)$ [$ceil(ln_2 (N))$ bits]. The grid algorithm is also known by the decoder, hence those signals, together with the corresponding signals of the preceding granule $n - 1$, are sufficient for unambiguous reconstruction of the grid used by the encoder. When no transient is detected, the position signal is obsolete, and can be replaced, for example by a 1 bit signal, stating whether one or two segments are used. Thus, uniform mode operation is identical to that of the class signalling system.

This system may be viewed as a finite state machine, where the above described signals control the transitions from state to state, and the states define the local grids. Clearly, the states can be represented by tables, stored in both the encoder, and the decoder. Since the grids are hard coded, the ability to adaptively alter the payload has been sacrificed. A reasonable approach is to keep the time/frequency

5   data matrix size (e.g. number of power estimates) approximately constant. Assuming that the number of scalefactors or coefficients in a high resolution segment is two times that of a low resolution segment, one high resolution segment can be traded for two low resolution segments.

Time/Frequency Switched Scalefactor Encoding

10   Utilising a time to frequency transform it can be shown that a pulse in the time domain corresponds to a flat spectrum in the frequency domain, and a "pulse" in the frequency domain, i.e. a single sinusoidal, corresponds to a quasi-stationary signal in the time domain. In other words a signal usually shows more transient properties in one domain than the other. In a spectrogram, i.e. a time/frequency matrix display, this property is evident, and can advantageously be used when coding spectral envelopes.

15

A tonal stationary signal can have a very sparse spectrum not suitable for delta coding in the frequency-direction, but well suited for delta coding in the time-direction, and vice versa. This is displayed in Fig. 5. Throughout the following description a vector of scale factors calculated at time n_0 represents the spectral envelope

20
$$Y(k, n_0) = [\ a_1, a_2, a_3, ..., a_k, ..., a_N], \qquad \text{(Eq 5)}$$

where $a_1...a_N$ are the amplitude values for different frequencies. Common practice is to code the difference between adjacent values in the frequency-direction at a given time, which yields:

$$D(k, n_0) = [\ a_2 - a_1, a_3 - a_2, ..., a_N - a_{(N-1)}\ ]. \qquad \text{(Eq 6)}$$

In order to be able to decode this, the start value a_1 needs to be transmitted. As stated above this delta-

25   coding scheme can prove to be most inefficient if the spectrum only contains a few stationary tones. This can result in a delta coding yielding a higher bit rate than regular PCM coding. In order to deal with this problem, a time/frequency switching method, hereinafter referred to as T/F-coding, is proposed: The scalefactors are quantized and coded both in the time- and frequency-direction. For both cases, the required number of bits is calculated for a given coding error, or the error is calculated for a given number

30   of bits. Based upon this, the most beneficial coding direction is selected.

As an example, DPCM and Huffman redundancy coding can be used. Two vectors are calculated, D_f and D_t:

$$D_f(k, n_0) = [\, a_2 - a_1, a_3 - a_2, \ldots, a_N - a_{(N-1)} \,],\qquad\text{(Eq 7)}$$

$$D_t(k, n_0) = [\, a_1(n_0) - a_1(n_0 - 1), a_2(n_0) - a_2(n_0 - 1), \ldots, a_N(n_0) - a_N(n_0 - 1) \,]\qquad\text{(Eq 8)}$$

5 The corresponding Huffman tables, one for the frequency direction and one for the time direction, state the number of bits required in order to code the vectors. The coded vector requiring the least number of bits to code represents the preferable coding direction. The tables may initially be generated using some minimum distance as a time/frequency switching criterion.

10 Start values are transmitted whenever the spectral envelope is coded in the frequency direction but not when coded in the time direction since they are available at the decoder, through the previous envelope. The proposed algorithm also require extra information to be transmitted, namely a time/frequency flag indicating in which direction the spectral envelope was coded. The T/F algorithm can advantageously be used with several different coding schemes of the scalefactor-envelope representation apart from DPCM 15 and Huffman, such as ADPCM, LPC and vector quantisation. The proposed T/F algorithm gives significant bitrate-reduction for the spectral-envelope data.

Practical Implementations

An example of the encoder side of the invention is shown in Fig. 6. The analogue input signal is fed to an 20 A/D-converter 601, forming a digital signal. The digital audio signal is fed to a perceptual audio encoder 602, where source coding is performed. In addition, the digital signal is fed to a transient detector 603 and to an analysis filterbank 604, which splits the signal into its spectral equivalents (subband signals). The transient detector could operate on the subband signals from the analysis bank, but for generality purposes it is here assumed to operate on the digital time domain samples directly. The transient detector 25 divides the signal into granules and determines, according to the invention, whether subgranules within the granules is to be flagged as transient. This information is sent to the envelope grouping block 605, which specifies the time/frequency grid to be used for the current granule. According to the grid, the block combines the uniform sampled subband signals, to form the non-uniform sampled envelope values. As an example, these values may represent the average power density of the grouped subband samples. 30 The envelope values are, together with the grouping information, fed to the envelope encoder block 606. This block decides in which direction (time or frequency) to encode the envelope values. The resulting signals, the output from the audio encoder, the wideband envelope information, and the control signals are fed to the multiplexer 607, forming a serial bitstream that is transmitted or stored.

The decoder side of the invention is shown in Fig. 7, using SBR transposition as an example of generation of the missing residual signal. The demultiplexer 701 restores the signals and feeds the appropriate part to an audio decoder 702, which produces a low band digital audio signal. The envelope information is fed from the demultiplexer to the envelope decoding block 703, which, by use of control data, determines

5   in which direction the current envelope are coded and decodes the data. The low band signal from the audio decoder is routed to the transposition module 704, which generates a replicated high band signal from the low band. The high band signal is fed to an analysis filterbank 706, which is of the same type as on the encoder side. The subband signals are combined in the scalefactor grouping unit 707. By use of control data from the demultiplexer, the same type of combination and time/frequency distribution of the

10   subband samples is adopted as on the encoder side. The envelope information from the demultiplexer and the information from the scalefactor grouping unit is processed in the gain control module 708. The module computes gain factors to be applied to the subband samples before recombination in the synthesis filterbank block 709. The output from the synthesis filterbank is thus an envelope adjusted high band audio signal. This signal is added to the output from the delay unit 705, which is fed with the low band

15   audio signal. The delay compensates for the processing time of the high band signal. Finally, the obtained digital wideband signal is converted to an analogue audio signal in the digital to analogue converter 710.

CLAIMS

1. A method for spectral envelope coding in a source coding system, where said system comprises an encoder representing all operations performed prior to storage or transmission, and a decoder representing all operations performed after storage or transmission, and where a residual signal corresponding to certain frequency regions is excluded from transmitted or stored data and a new residual is synthesised in said decoder, **characterised by**:

at said encoder, perform a statistical analysis of the input signal,

based on the outcome of said analysis, select the grid to be used in the spectral envelope representation,

using said grid, generate data representing said spectral envelope,

transmit said data together with a control signal describing said grid, and

at said decoder, using said control signal and said data in the synthesis of the output signal.

2. A method according to claim **1**, **characterised in** that said instantaneous time and frequency resolution is obtained by grouping of elements in a time/frequency representation of said input signal, and calculating a scalefactor for every one of said groups.

3. A method according to claim **2**, **characterised in** that said time/frequency representation is generated by a filterbank.

4. A method according to claim **3**, **characterised in** that said filterbank is of fixed size.

5. A method according to claim **1**, **characterised in** that said data is generated by a linear predictor.

6. A method according to claim **1**, **characterised in** that said analysis employs a transient detector.

7. A method according to claim **6**, **characterised in** that said instantaneous resolution is switched from a default combination of higher frequency resolution and lower time resolution to a combination of lower frequency resolution and higher time resolution at the onset of a transient.

8. A method according to claim **1**, **characterised in** that said control signal describes positions within a granule of constant update rate, generated by said analysis, and said instantaneous resolution is chosen based on the positions within current and neighbouring granules, by the use of rules available to both said encoder and said decoder.

9. A method according to claim **8**, **characterised in** that at most one position per granule is signalled.

10. A method according to claim **1**, **characterised in** that granules of variable length are used.

11. A method according to claim **10**, **characterised in** that four classes of granules are used, whereby the first class has fixed position granule boundaries, and the length L,

the second class has a fixed position start boundary, and a variable position stop boundary,

the third class has a variable position start boundary, and a fixed position stop boundary,

the fourth class has variable position start and stop boundaries, and

said fixed positions coincide with reference positions, separated by the distance L, and said variable positions can be offset [-a,b] versus said reference positions.

12. A method according to claim **2**, **characterised in** that said scalefactors are coded both in the time and frequency direction, the momentarily most beneficial direction is determined, said most beneficial direction is used for said transmission.

13. A method according to claim **12**, **characterised in** that the direction which generates the least coding error for a given number of bits is chosen.

14. A method according to claim **12**, **characterised in** that the direction which generates the least number of bits for a given coding error is chosen.

15. A method according to claim **14**, **characterised in** that lossless coding is employed and separate tables are used for said time and frequency directions, in particular where said tables are used for selection of coding direction.

16. An apparatus for encoding of a spectral envelope of a signal to be decoded by a decoder, **characterised by**:

means for performing a statistical analysis of the input signal,

means for selection of the instantaneous time and frequency resolution to be used in a spectral envelope representation of said input signal, based on the outcome of said analysis,

means for generation of data representing said spectral envelope, using said resolution, and

means for transmission of said data together with a control signal describing said resolution.

17. An apparatus for decoding of a spectral envelope of a signal encoded by an encoder, **characterised by**:

means for interpretation of a received control signal in order to determine the instantaneous time and frequency resolution used in a spectral envelope representation of an encoded signal,

5 means for decoding of received envelope data based on said spectral envelope representation, using said control signal, and

means for using said decoded envelope data in the synthesis of the output signal.

ABSTRACT

The present invention provides a new method and an apparatus for spectral envelope encoding. The invention teaches how to perform and signal compactly a time/frequency mapping of the envelope representation, and further, encode the spectral envelope data efficiently using adaptive time/frequency directional coding. The method is applicable to both natural audio coding and speech coding systems and is especially suited for coders using SBR [WO 98/57436] or other high frequency reconstruction methods.

f [kHz] Uniform sampling in time

21

0

t [s]

0   0.5   1

Scalefactor generation time

Fig. 1a

f [kHz] Non-uniform sampling in time

21

0

t [s]

0   0.5   1

Scalefactor generation time
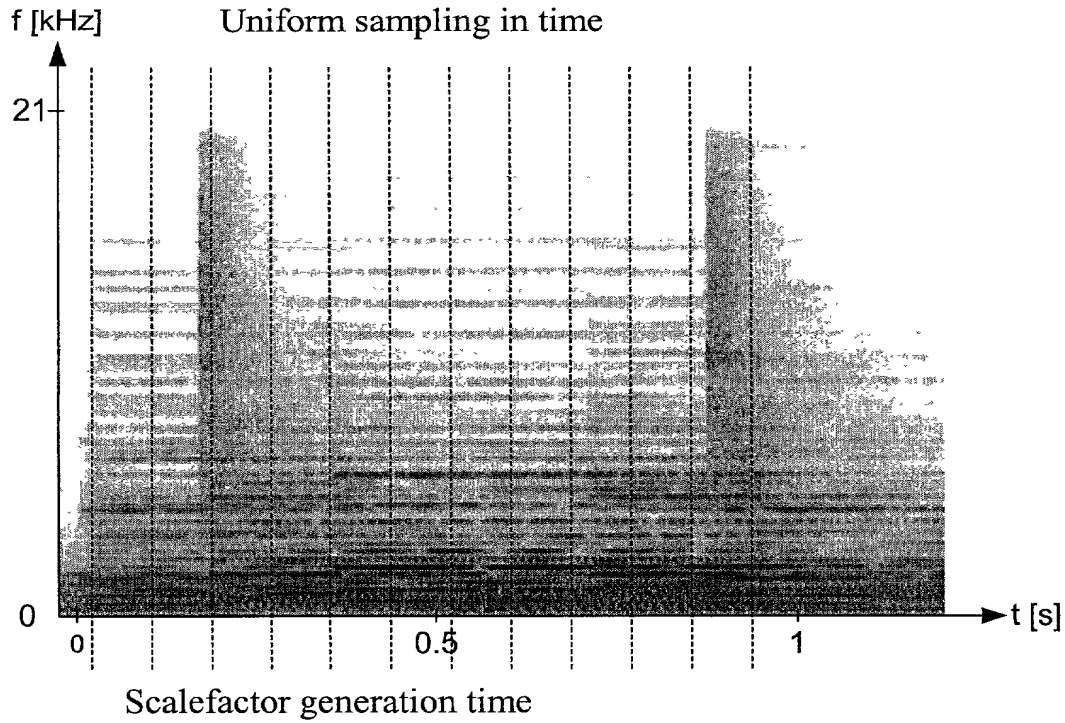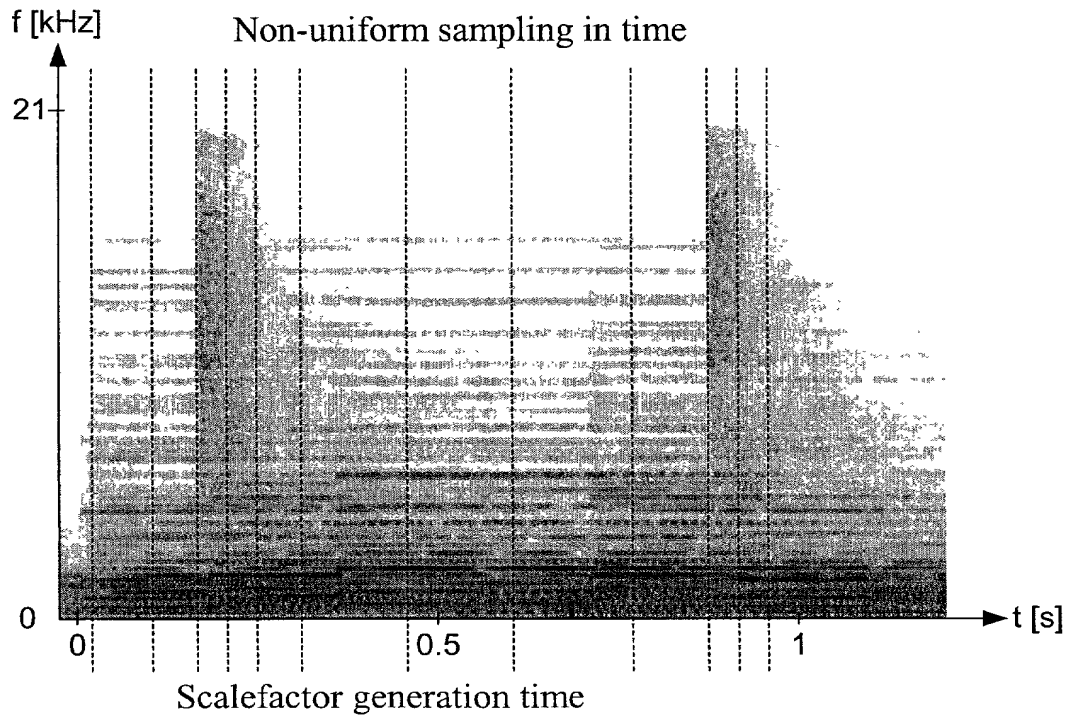
Fig. 1b

class = 0 (FixFix) <=> both boundaries fixed
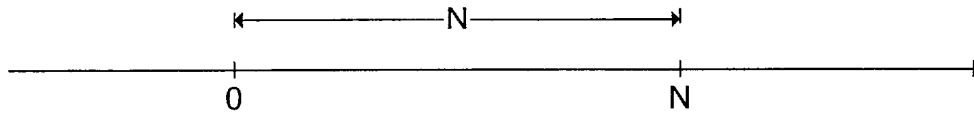
class = 1 (FixVar) <=> leading boundary fixed, trailing d:o variable
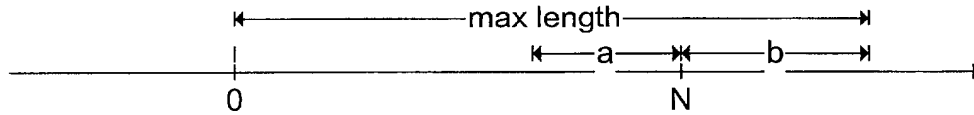
class = 2 (VarFix) <=> leading boundary variable, trailing d:o fixed
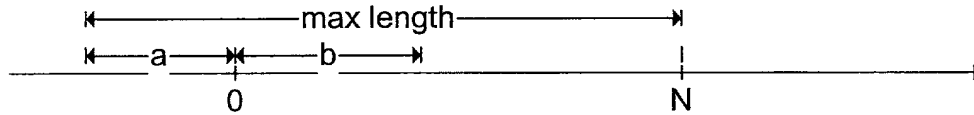
class = 3 (VarVar) <=> both boundaries variable
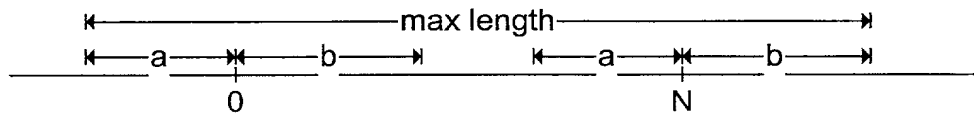
Fig. 2a
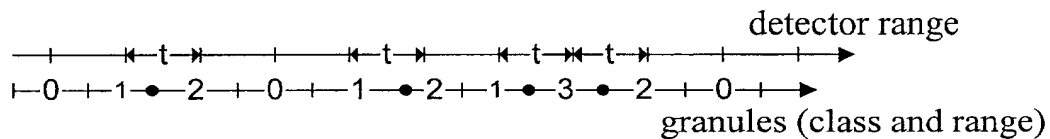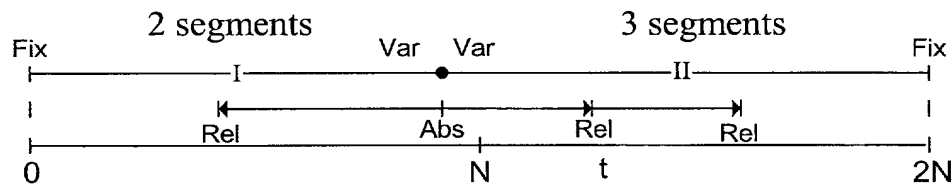
detector range

granules (class and range)

Fig. 2b

2 segments   3 segments
Fix   Var   Var   Fix

Frame I control signal: Frame II control signal:
[1,-1,1,6]   [2,-1,2,4,4]

Fig. 3a

3 segments   2 segments
Fix   Var   Var   Fix

Frame I control signal: Frame II control signal:
[1,3,2,4,6]   [2,3,1,4]

Fig. 3b

subgranule
index:

| 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 |

nominal granule n-1 | nominal granule n | nominal granule n+1

Fig. 4a

pos(n-1) = 2
flag(n-1) = 1

pos(n) = 3
flag(n) = 1

detector
index:

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |

transient detector granule n-1 | transient detector granule n

subgranule
with
transient
passage
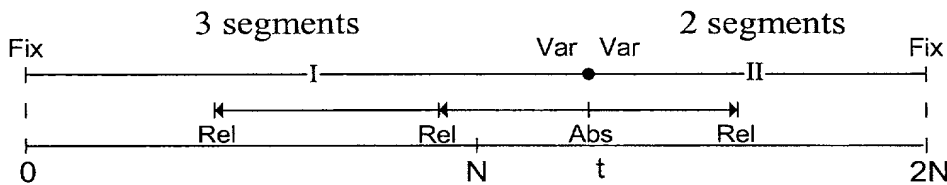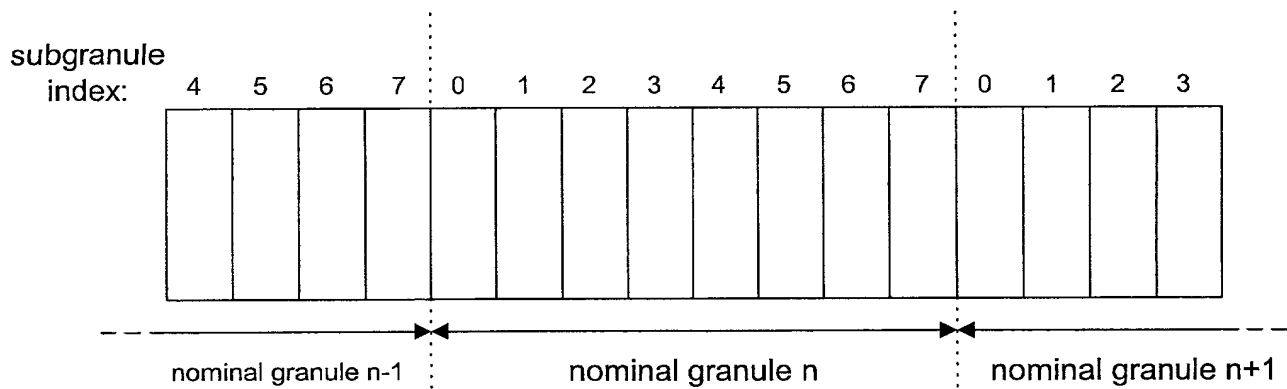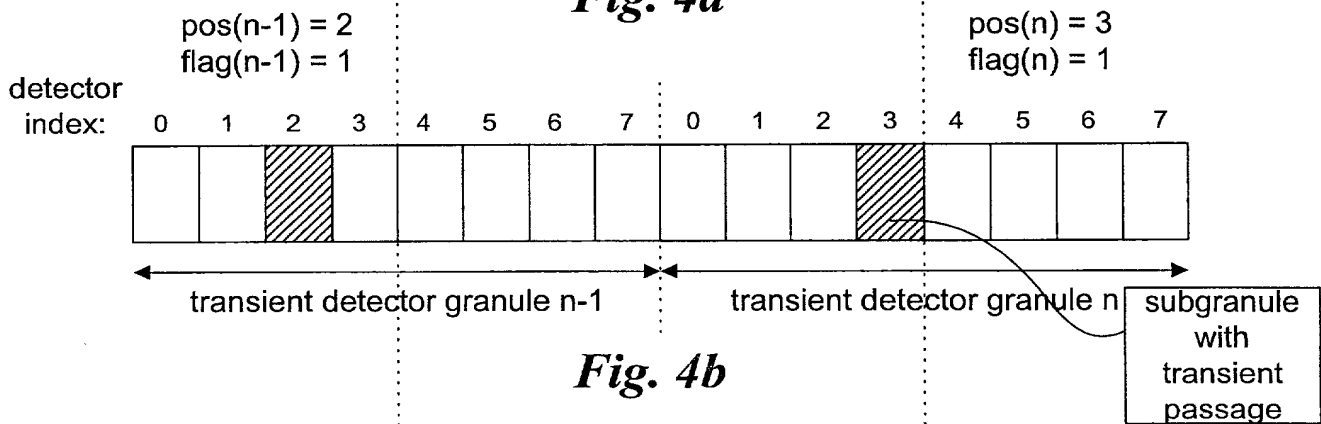
Fig. 4b

Preceding transient
position: 2, current
transient position: 3
gives (from look-up
table) time/
frequency grid for
nominal granule n
as:

Low frequency
resolution

High frequency
resolution

Low frequency
resolution
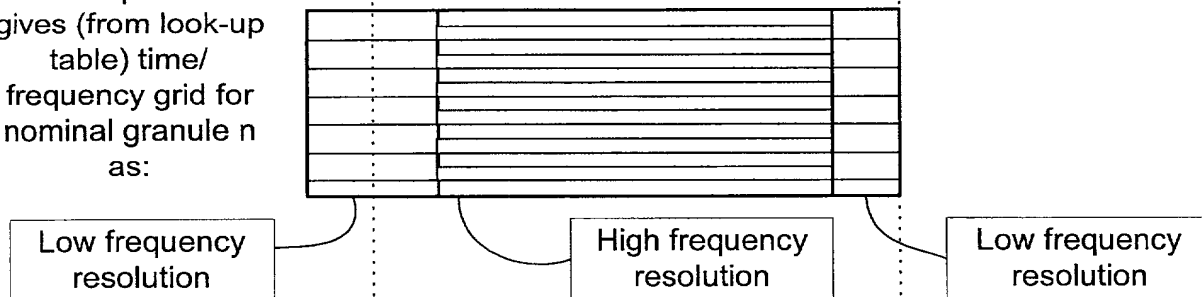
Fig. 4c
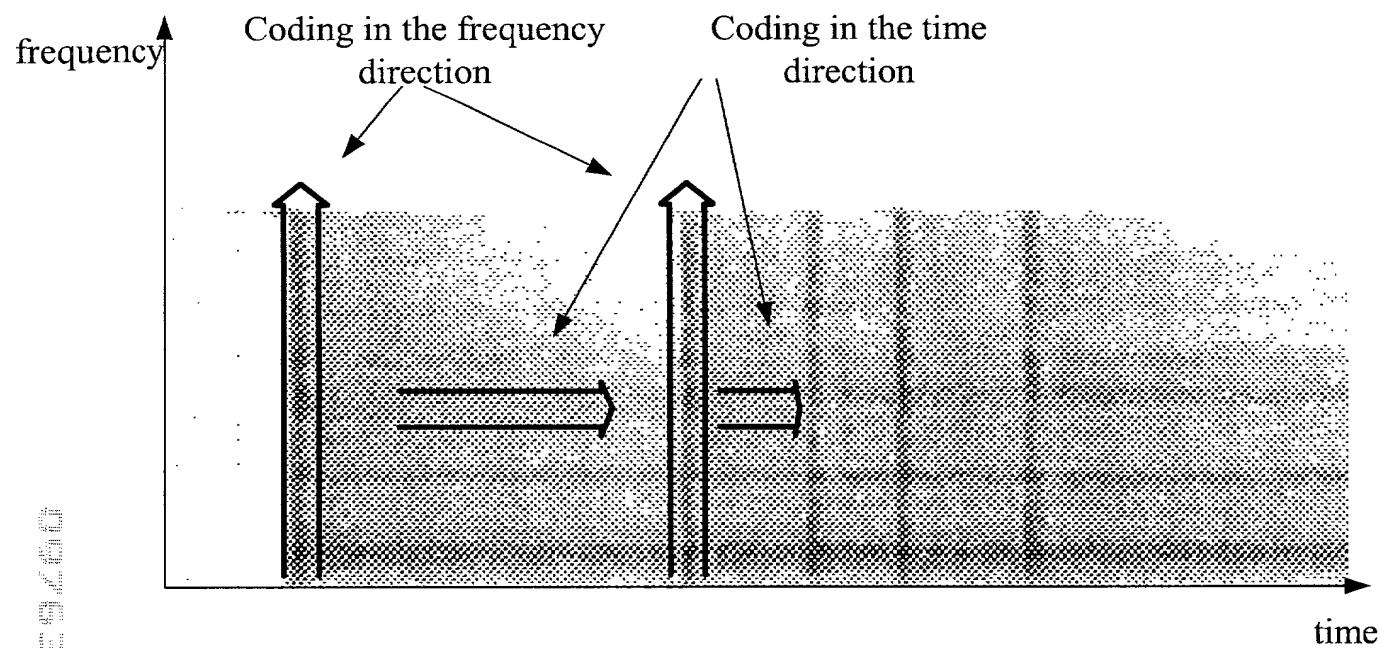
Fig. 5
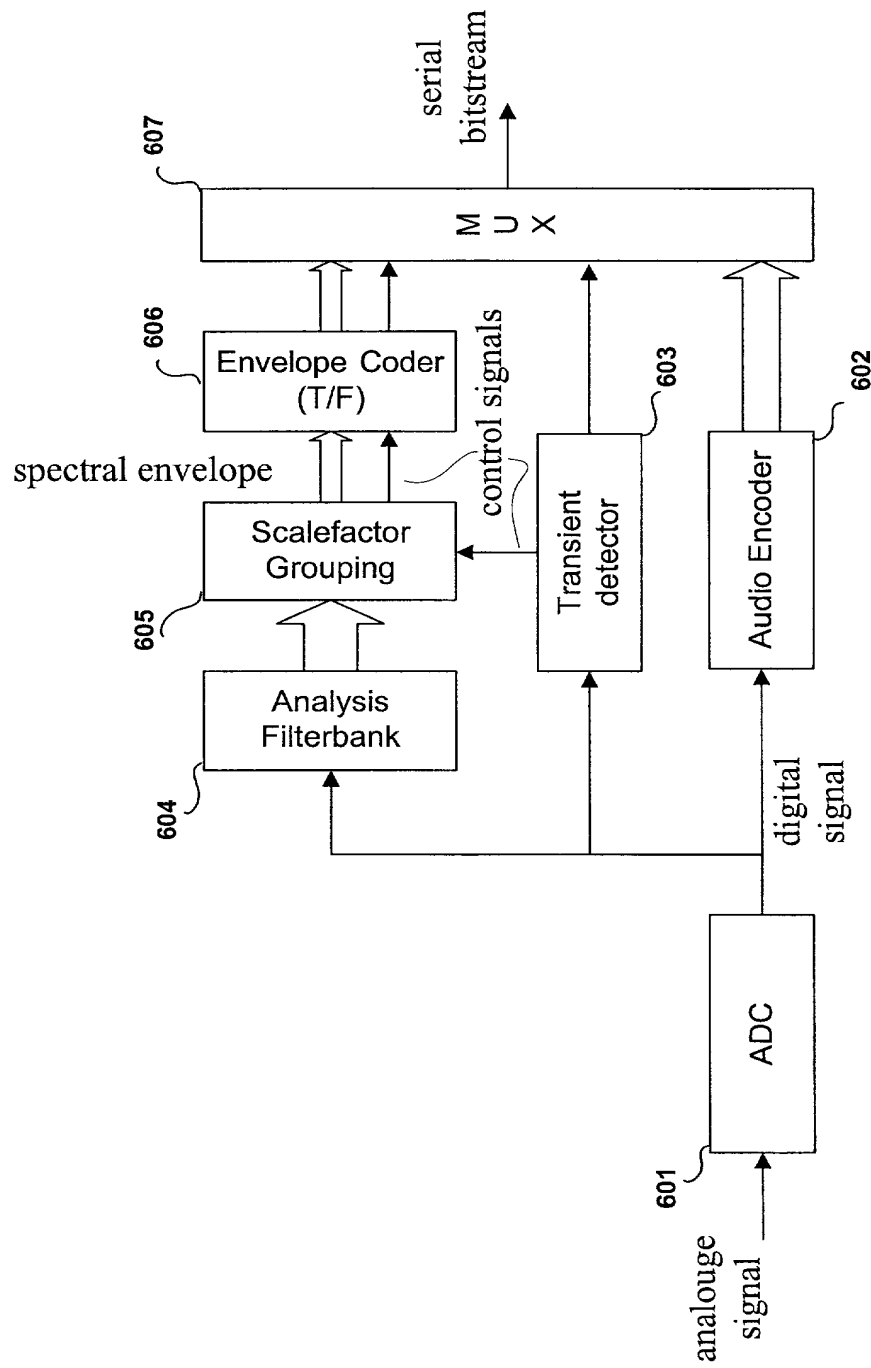
Fig. 6
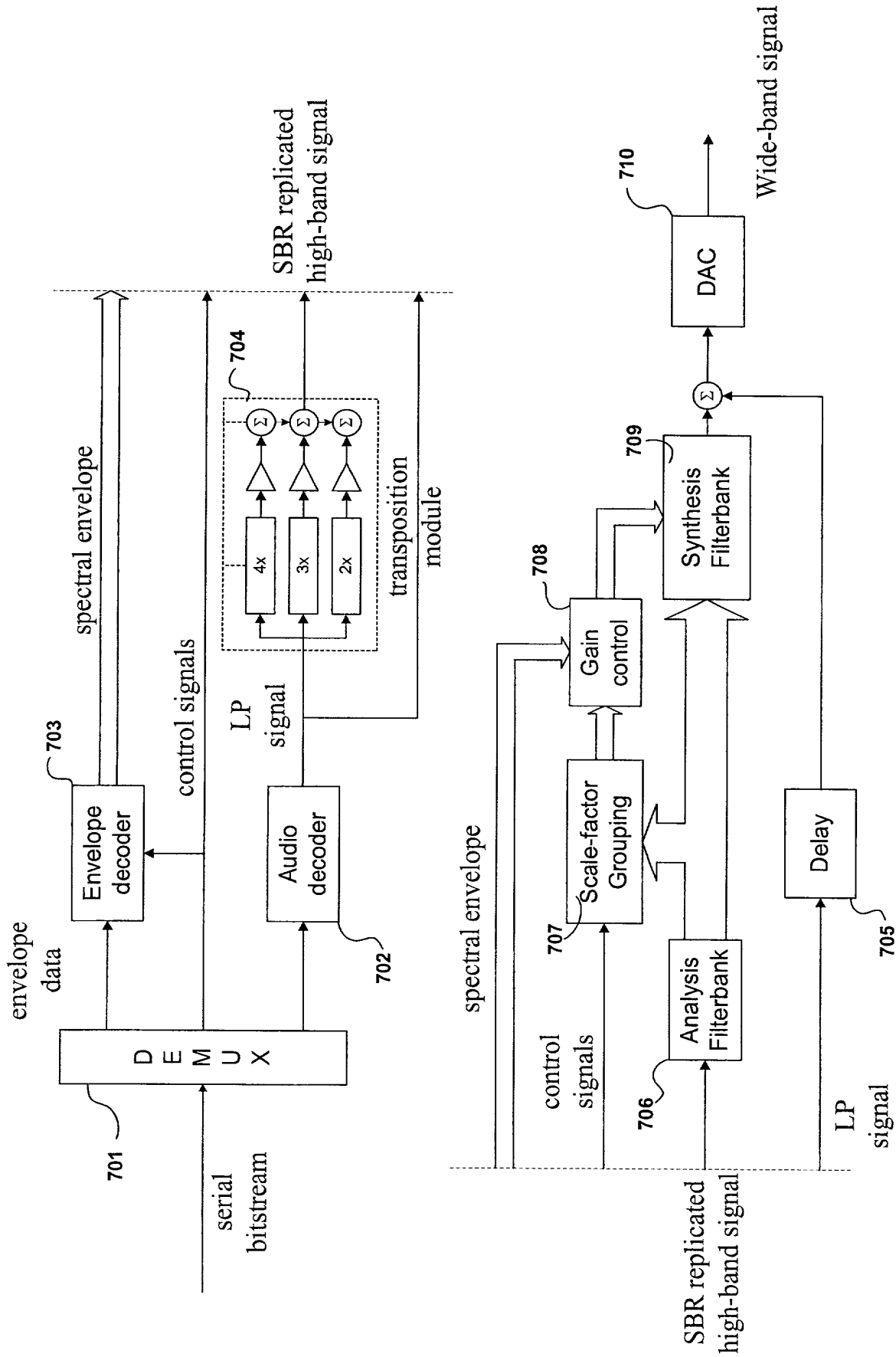
Fig. 7

EFFICIENT SPECTRAL ENVELOPE CODING USING VARIABLE TIME/FREQUENCY RESOLUTION AND TIME/FREQUENCY SWITCHING

·5   **TECHNICAL FIELD**

The present invention relates to a new method and apparatus for efficient coding of spectral envelopes in audio coding systems. The method may be used both for natural audio coding and speech coding and is especially suited for coders using SBR [WO 98/57436] and other high frequency reconstruction methods.

10

BACKGROUND OF THE INVENTION

Audio source coding techniques can be divided into two classes: natural audio coding and speech coding. Natural audio coding is commonly used for music or arbitrary signals at medium bitrates, and generally offers wide audio bandwidth. Speech coders are basically limited to speech reproduction but can on the other hand be used at very low

15   bitrates, albeit with low audio bandwidth. In both classes, the signal is generally separated into two major signal components, the "spectral envelope" and the corresponding "residual" signal. Throughout the following description, the term "spectral envelope" refers to the coarse spectral distribution of the signal in a general sense, e.g. filter coefficients in an linear prediction based coder or a set of time-frequency averages of subband samples in a subband coder. The term "residual" refers to the fine spectral distribution in a general sense, e.g. the LPC error signal or

20   subband samples normalized using the above time-frequency averages. "Envelope data" refers to the quantized and coded spectral envelope, and "residual data" to the quantized and coded residual. At medium and high bitrates, the residual data constitutes the main part of the bitstream while the envelope data is merely a fraction. At very low bitrates, the envelope data constitutes a comparably larger part of the bitstream. Hence, it is indeed important to represent the spectral envelope compactly when using lower bitrates.

25

Older prior art audio coders and most speech coders use static, relatively short, time segments in the generation of envelope data to achieve good temporal resolution. However, this prevents from optimal utilisation of the frequency domain masking known from psycho-acoustics. To improve coding gain through the use of narrow filterbands with steep slopes, and still achieve good temporal resolution during transient passages, modern audio coders employ

30   adaptive window switching, i.e. they switch time segment lengths depending on the signals statistics. Clearly a minimum usage of the short segments is a prerequisite for maximum coding gain. Unfortunately, long transition windows are needed to alter the segment lengths, limiting the switching flexibility.

The spectral envelope is a function of two variables: time and frequency. The encoding can be done by exploiting

35   redundancy in either direction of the time/frequency plane. Generally, coding of the spectral envelope is performed in the frequency direction using delta coding (DPCM), linear prediction (LPC), or vector quantization (VQ).

SUMMARY OF THE INVENTION

The present invention provides a new method and an apparatus for spectral envelope encoding. The invention teaches how to perform and signal compactly a time/frequency mapping of the envelope representation, and further, encode the spectral envelope data efficiently using adaptive time/frequency direction coding. In the absence of transients, i.e. for quasi-stationary signals, a time/frequency grid with low temporal and high frequency resolution is used as default. In the vicinity of transients, the temporal resolution is increased at the expense of frequency resolution. The invention describes two schemes for signalling of the time and frequency resolution used. One scheme allows arbitrary selection of instantaneous resolution by explicit signalling of time segment borders and frequency resolutions, whereas the other exploits the fact that transients are separated at least by a minimum time, T_{nmin}, in order to reduce the required number of control bits: In the encoder, a transient detector decides whether the current granule contains a transient, and if so, determines the position of the onset of the transient. The position within the granule is encoded and sent to the decoder. Both the encoder and decoder share rules that specify the time/frequency distribution of the spectral envelope samples, given a certain combination of subsequent control signals, ensuring an unambiguous decoding of the envelope data. The rules can be realised as a book of tables explicitly specifying the division of the current granule in terms of samples in the time/frequency plane. The variable time/frequency resolution method is also applicable on envelope encoding based on prediction. Instead of grouping of subband samples, predictor coefficients are generated for time segments of varying lengths according to the system. Different predictor orders may be used for transient and quasi-stationary (tonal) segments.

The present invention presents a new and efficient method for scalefactor redundancy coding. A dirac pulse in the time domain transforms to a constant in the frequency domain, and a dirac in the frequency domain, i.e. a single sinusoid, corresponds to a signal with constant magnitude in the time domain. Simplified, on a short term basis, the signal shows less variations in one domain than the other. Hence, using prediction or delta coding, coding efficiency is increased if the spectral envelope is coded in either time- or frequency-direction depending on the signal characteristics.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will now be described by way of illustrative examples, not limiting the scope or spirit of the invention, with reference to the accompanying drawings, in which:

Figs. 1a - 1b illustrate uniform respective non-uniform sampling in time of the spectral envelope.

Figs. 2a - 2c illustrate transient detector look-ahead and granule interdependency.

Figs. 3a - 3f illustrate segments with different time and frequency resolutions, and the corresponding control signals.

Fig. 4 illustrates time/frequency switched envelope coding.

Fig. 5 is a block diagram of an encoder using the envelope coding according to the invention.

Fig. 6 is a block diagram of a decoder using the envelope coding according to the invention.

DESCRIPTION OF PREFERRED EMBODIMENTS

The below-described embodiments are merely illustrative for the principles of the present invention for efficient envelope coding. It is understood that modifications and variations of the arrangements and the details described herein will be apparent to others skilled in the art. It is the intent, therefore, to be limited only by the scope of the impending patent claims and not by the specific details presented by way of description and explanation of the embodiments herein.

Generation of Envelope Data

Most audio and speech coders have in common that both envelope data and residual data are transmitted and combined during the synthesis at the decoder. Two exceptions are coders employing PNS ["Improving Audio Codecs by Noise Substitution", D. Schultz, JAES, vol. 44, no. 7/8, 1996], and coders employing SBR. In case of SBR, considering the highband, only the spectral course structure needs to be transmitted since a residual signal is reconstructed from the lowband. This puts higher demands on how to generate envelope data, in particular due to lack of "timing" information contained in the original residual signal. This problem will now be demonstrated by means of an example:

Fig. 1 shows the time/frequency representation of a musical signal where sustained chords are combined with sharp transients with mainly high frequency contents. In the lowband the chords have high energy and the transient energy is low, whereas the opposite is true in the highband. The envelope data that is generated during time intervals where transients are present is dominated by the high intermittent transient energy. At the SBR process in the decoder, the spectral envelope of the transposed signal is estimated using the same instantaneous time-/frequency resolution as used for the analysis of the original highband. An equalization of the transposed signal is then performed, based on dissimilarities in the spectral envelopes. E.g. amplification factors in an envelope adjusting filterbank are calculated as the quotients between original signal and transposed signal scalefactors. For this kind of signal, a problem arises: The transposed signal has the same chord to transient energy ratio as the lowband. The gains needed in order to adjust the transposed transients to the correct level thus cause the transposed chords to be amplified relative the original highband level for the full duration of the envelope data containing transient energy. These momentarily too loud chord fragments are perceived as pre- and post echoes to the transient, see Fig. 1a. This kind of distortion will hereinafter be referred to as "gain induced pre- and post echoes". The phenomenon can be eliminated by constantly updating the envelope data at such a high rate that the time between an update and an arbitrarily located transient is guaranteed to be short enough not to be resolved by the human hearing. However, this approach would drastically increase the amount of data to be transmitted and is thus not practical.

Therefore a new envelope data generation scheme is presented. The principal solution is to maintain a low update rate during tonal passages, which make up the majority of a typical programme material, and by means of a transient detector localize the transient positions, and update the envelope data close to the leading flanks, see Fig 1b. This eliminates gain induced pre-echoes. In order to represent the decay of the transients well, the update rate is momentarily increased in a time interval after the transient start. This eliminates gain induced post-echoes. The time segmenting during the decay is not as crucial as finding the start of the transient, as will be explained later. In order

4

to compensate for the smaller time steps, a lower frequency resolution can be used during the transient, keeping the data size within limits. A non-uniform sampling in time and frequency as outlined above is applicable both on subband coders and linear prediction based coders.

5   Some prior art coders employ variable time/frequency resolution as well. In case of subband coders, this is commonly achieved through switching of the filterbank size. Such a change in size can not take place immediately, so called transition windows are needed, and thus the update points can not be chosen freely. When using SBR, the filterbank can be designed to meet both the highest temporal and highest frequency resolution needed. Thus the varying time and frequency sampling can be obtained by grouping of the subband samples from a fixed filterbank in
10   different ways. In other words, by keeping the filterbank size constant, high frequency resolution or high time resolution can be obtained instantaneously. In case of prediction based coders, no elaborate time/frequency resolution switching schemes are known from prior art.

Typical coders operate on a block basis, where every block represents a fixed time interval. Those blocks will be
15   referred to as "granules". Let a granule have a length of q time quantization steps, hereinafter called "subgranules". In applications where there are non-critical delay restrictions, as in point to multipoint broadcasting, a transient detector look-ahead can be employed on the encoder side. Having this additional information, envelope data spanning across borders of granules can be comprised. This enables a more flexible selection of time/frequency resolutions, and faciliates constant bitrate operation, since parts of the payload can be moved between consecutive
20   granules. Referring to Fig. 2, the granules are divided into eight subgranules. The transient detector operates on granules with the same timespan as the granule that overlap 50% of two consecutive granules, that is, the transient detector look-ahead is half a granule. The transient detector has detected a transient in subgranule 6 at time n-1, and a transient in subgranule 7 at time n. With these values as input to the time/frequency resolution controlling algorithms, the corresponding time/frequency grid for granule n might be as shown in Fig. 2c. As seen from the
25   figure, subgranule 7 of the granule at time n-1 is included in the time/frequency grid of granule n. Moreover, it is possible to use an analysis by synthesis approach, i.e. having a decoder in the encoder to assess the most beneficial time/frequency sampling.

30   Control Signalling
In order to correctly interpret the received envelope data, the segment borders and frequency resolutions (number of coefficients or scalefactors) must be signalled. If a non-uniform sampling according to Fig. 2 is to be employed, the problem of envelope data spanning over the granule borders must be dealt with. Furthermore, the signalling must be flexible enough to cover all combinations of interest, without generating a too large amount of control data.
35

Theoretically, transients can occur within a granule in C combinations, ranging from no transient at all to q transients, where C is given by

$$C = \sum_{n=0}^{q} \binom{q}{n} = 2^q \qquad \text{(Eq 1)}$$

In order to signal C states, $\ln2(C) = \ln2(2^q) = q$ bits are required, corresponding to one bit per subgranule. If different frequency resolutions are to be used in the segments, even more bits might be required in order to signal the frequency resolution chosen. However, in low bitrate applications the number of control signal bits must be kept at a minimum. The first step towards an efficient signalling is to employ two time sampling modes; uniform and non-uniform sampling in time. The uniform mode is used during quasi-stationary passages, and employs high frequency resolution and relatively long time segments, both of which are predefined. Hence this mode does not require any signalling of segment borders or frequency resolutions. One bit is sufficient to signal the time sampling mode to the decoder. The non-uniform mode is used during transient passages and requires additional signalling. Two such signalling systems are proposed by the present invention.

The first system, hereinafter referred to as the "border-signalling system", uses one bit per subgranule to signal whether a segment border is present at the subgranule left border or not. Envelope data corresponding to a segment is always sent in the granule in which the segment starts. This means that the number of envelopes transmitted in a granule equals the number of left borders in the granule or the bit sum of the q border bits. The segment frequency resolutions are signalled with dynamically allocated control bits, e.g. one bit per envelope. Again, this number of bits is derived from the q border bits.

Some examples of grouping of subgranules into time segments are given in Fig. 3, where the subgranules are numbered from 000 to 111. L denotes low frequency resolution and H denotes high resolution. In the example the number of scalefactors or coefficients in a high resolution segment is assumed to be two times that of a low resolution segment. Figure 3a shows a reference system, constantly using the highest possible time and frequency resolution. The relative data matrix size is one by definition, and obviously no control signal bits are needed in this system. If no transient is present in or next to a specific granule, the granule is divided into two segments of equal length and the envelope representations are calculated using high frequency resolution. If the two envelope representations do not differ more than a certain amount, only one set of high resolution envelope data is sent. Those cases are illustrated by Figs. 3b and 3c, where the control signal "Uniform" tells that uniform sampling in time is used, and the signal "LowTime" indicates whether one or two envelopes are sent. Hence, the control signal overhead is two bits. The - symbol means that the signal is not transmitted. Figs. 3d - 3f show some cases where a transient, denoted by T, is present. The border-signalling system uses 8 bits to signal sub-granule left borders, and a varying number of bits to signal the frequency resolution within the sub-granules. Those signals are called "Borders" and "LowFreq" respectively. The "TranPos" signal is not part of this system, and will be explained later. The right border of the last segment in a granule equals the first left border in the subsequent granule. P means that the corresponding envelope data was sent in the previous granule, Fig 3f. The signalling overhead varies between 12 and 13 bits in Figs. 3d - 3f. Notice that the transient cases d and f generate the same data matrix size as the non-transient case b. Furthermore, it is possible to design a scheme that keeps the matrix size constant, if desired. For a typical programme material, the system has a performance similar to that of the reference system, at data matrix sizes of only 0.125 to 0.375 times the reference size. Hence a major data reduction is achieved when using the dynamic selection of time- and frequency resolution according to the present invention.

The second system, hereinafter referred to as the "position-signalling system", is intended for very low bitrate applications and utilizes some musical signal properties in order to reduce the number of control signal bits. As will

6

be shown below, many of the states described by Eq. 1 are not very likely, and would also generate too large amounts of envelope data to be practical at a limited bitrate. According to the present invention, the following simplifications can be made with little or no sacrifice of quality for practical signals:

1. Only the transient start position needs to be transmitted. The time and frequency grouping around this position can be handled by employing a set of rules in the encoder and decoder, which are based on the properties of typical transients.

2. There exists a fixed minimum time-span between consecutive transients, i.e. transients can not be arbitrarily close to one another. It is thus possible to introduce a blocking time in the transient detection/signalling system, reducing the number of states.

The minimum time-span between consecutive transients in music programme material can be estimated in the following way: In musical notation, the rhythmic "pulse" is described by a time signature expressed as a fraction A/B, where A denotes the number of "beats" per bar and $1/B$ is the type of note corresponding to one beat, for example a $\frac{1}{4}$ note, commonly referred to as a quarter note. Let t denote the tempo in Beats Per Minute (BPM). The time per note of type $1/C$ is then given by

$$T_n = (60/t)*(B/C) \text{ [s]} \qquad (\text{Eq } 2)$$

Most music pieces fall within the 70 – 160 BPM range, and in 4/4 time signature the fastest rhythmical patterns are for most practical cases made up from 1/32 or 32:nd notes. This yields a minimum time $T_{nmin} = (60/160)*(4/32) = 47$ ms. Of course lower time periods than this may occur, but such fast sequences (>21 tones per second) almost get the character of buzz and need not be fully resolved.

The necessary time resolution T_q must also be established. In some cases a transient original signal has its main energy in the highband to be reconstructed. This means that the encoded spectral envelope must carry all the "timing" information. The desired timing precision thus determines the resolution needed for encoding of leading flanks. T_q is much smaller than the minimum note period T_{nmin}, since small time deviations within the period clearly can be heard. In most cases however, the transient has significant energy in the lowband. The above described gain-induced pre-echoes must fall within the so called pre- or backward masking time T_m of the human auditory system in order to be inaudible. Hence T_q must satisfy two conditions:

$$T_q << T_{nmin} \qquad (\text{Eq } 3)$$

$$T_q < T_m \qquad (\text{Eq } 4)$$

Obviously $T_m < T_{nmin}$ (otherwise the notes would be so fast that they could not be resolved) and according to ["Modeling the Additivity of Nonsimultaneous Masking", Hearing Res., vol. 80, pp. 105-118 (1994)], T_m amounts to 10-20 ms. Since T_{nmin} is in the 50ms range, a reasonable selection of T_q according to Eq 3 results in that the second condition is also met. Of course the precision of the transient detection in the encoder and the time resolution of the analysis/synthesis filterbank must also be considered when selecting T_q.

Tracking of trailing flanks is less crucial, for several reasons: First, the note-off position has little or no effect on the perceived rhythm. Second, most instruments do not exhibit sharp trailing flanks, but rather a smooth decay curve, i.e. a well defined note-off time does not exist. Third, the post- or forward masking time is substantially longer than the pre-masking time.

According to the present invention, the above transient start information can be used for implicit signalling of segment borders and frequency resolutions immediately after/between transients. This will now be described, again referring to Fig. 3, assuming a granule length selected according to $8T_q <= T_{nmin}$, i.e. a maximum of one transient is likely to occur within a granule. In this position-signalling system the "Borders" and "LowFreq" signals are replaced by a single signal, "TranPos", consisting of three bits. When a transient is present, the position within the granule is signalled by "TranPos", see Fig. 3d – 3f. This value, in combination with the control signals of the preceding granule, determines the time/frequency grid used for the current granule. These grids are described by rules or tables that are available to both the encoder and decoder. Given the common tables and the control signals "Uniform" and either "LowTime" or "TranPos" of the current and the previous granule, unambiguous decoding of the envelope data is ensured. To put the saving obtained by the use of the position-signalling system instead of the border-signalling system into perspective, a hypothetical low bitrate envelope encoder is studied: Assume granules of length $16T_q <= T_{nmin}$, an average number of scalefactors per granule of 40 and an average number of bits per scalefactor of 3 due to lossless coding. The average number of segments in granules containing transients, n, is assumed to be 3. For transients, the signalling overheads are $B_{border} = 1 + q + n = 1 + 16 + 3 = 20$ and $B_{position} = 1 + ceil\{ln2(16)\} = 1 + 4 = 5$. Thus the saving is around $20 - 5 = 15$ bits, corresponding to about 5 scalefactors or 12.5 % of the envelope data, i.e. it is significant at such low bitrates.

Time/Frequency Switched Scalefactor Encoding

Utilising a time to frequency transform it can be shown that a pulse in the time domain corresponds to a flat spectrum in the frequency domain, and a "pulse" in the frequency domain, i.e. a single sinusoidal, corresponds to a quasi-stationary signal in the time domain. In other words a signal usually shows more transient properties in one domain than the other. In a spectrogram, i.e. a time/frequency matrix display, this property is evident, and can advantageously be used when coding spectral envelopes.

A tonal stationary signal can have a very sparse spectrum not suitable for delta coding in the frequency-direction, but well suited for delta coding in the time-direction, and vice versa. This is displayed in Fig. 4. Throughout the following description a vector of scale factors calculated at time n_0 represents the spectral envelope

$$Y(k,n_0)=[a_1, a_2, a_3, ..., a_k, ...,a_N],\qquad (Eq\ 5)$$

where $a_1...a_N$ are the amplitude values for different frequencies. Common practice is to code the difference between adjacent values in the frequency-direction at a given time, which yields:

$$D(k,n_0)=[a_2-a_1,a_3-a_2,...,a_N-a_{(N-1)}].\qquad (Eq\ 6)$$

In order to be able to decode this, the start value a_1 needs to be transmitted. As stated above this delta-coding scheme can prove to be most inefficient if the spectrum only contains a few stationary tones. This can result in a delta coding yielding a higher bit rate than regular PCM coding. In order to deal with this problem, a time/frequency switching method, hereinafter referred to as T/F-coding, is proposed: The scalefactors are quantized and coded both in the time- and frequency-direction. For both cases, the required number of bits is calculated for a given coding error, or the error is calculated for a given number of bits. Based upon this, the most beneficial coding direction is selected.

As an example, DPCM and Huffman redundancy coding can be used. Two vectors are calculated, D_f and D_t:

$$D_f(k,n_0)=[a_2\text{-}a_1,a_3\text{-}a_2,...,a_N\text{-}a_{(N\text{-}1)}],\qquad\qquad\text{(Eq 7)}$$

$$D_t(k,n_0)=[a_1(n_0)\text{-}a_1(n_0\text{-}1),a_2(n_0)\text{-}a_2(n_0\text{-}1),...,a_N(n_0)\text{-}a_N(n_0\text{-}1)]\qquad\text{(Eq 8)}$$

5   The corresponding Huffman tables, one for the frequency direction and one for the time direction, state the number of bits required in order to code the vectors. The coded vector requiring the least number of bits to code represents the preferable coding direction. The tables may initially be generated using some minimum distance as a time/frequency switching criterion.

10   Start values are transmitted whenever the spectral envelope is coded in the frequency direction but not when coded in the time direction since they are available at the decoder, through the previous envelope. The proposed algorithm also require extra information to be transmitted, namely a time/frequency flag indicating in which direction the spectral envelope was coded. The T/F algorithm can advantageously be used with several different coding schemes of the scalefactor-envelope representation apart from DPCM and Huffman, such as ADPCM, LPC and vector

15   quantisation. The proposed T/F algorithm gives significant bitrate-reduction for the spectral-envelope data, up to around 20% reduction compared to commonly used delta-coding techniques. If the number of scalefactors per octave is constant, it is possible to delta code on an octave basis instead of delta coding of adjacent scale factors.

Practical implementations

20   An example of the encoder side of the invention is shown in Fig. 5. The analogue input signal is fed to an A/D-converter 501, forming a digital signal. The digital audio signal is fed to a perceptual audio encoder 502, where source coding is performed. In addition, the digital signal is fed to a transient detector 503 and to an analysis filterbank 504, which splits the signal into its spectral equivalents (subband signals). The transient detector could operate on the subband signals from the analysis bank, but for generality purposes it is here assumed to operate on

25   the digital time domain samples directly. The transient detector divides the signal into granules and determines, according to the invention, whether subgranules within the granules is to be flagged as transient. This information is sent to the envelope grouping block 505, which specifies the time/frequency grid to be used for the current granule. According to the grid, the block combines the uniform sampled subband signals, to form the non-uniform sampled envelope values. As an example, these values might be the average or maximum energy for the subband samples

30   combined. The envelope values are, together with the grouping information, fed to the envelope encoder block 506. This block decides in which direction (time or frequency) to encode the envelope values. The resulting signals, the output from the audio encoder, the wideband envelope information, and the control signals are fed to the multiplexer 507, forming a serial bitstream that is transmitted or stored.

35   The decoder side of the invention is shown in Fig. 6. The demultiplexer 601 restores the signals and feeds the appropriate part to an audio decoder 602, which produces a low band digital audio signal. The envelope information is fed from the demultiplexer to the envelope decoding block 603, which, by use of control data, determines in which direction the current envelope are coded and decodes the data. The low band signal from the audio decoder is routed to the transposition module 604, which generates a replicated high band signal consisting of one or several

harmonics from the low band signal. The high band signal is fed to an analysis filterbank 606, which is of the same type as on the encoder side. The subband signals are combined in the scalefactor grouping unit 607. By use of control data from the demultiplexer, the same type of combination and time/frequency distribution of the subband samples is adopted as on the encoder side. The envelope information from the demultiplexer and the information from the scalefactor grouping unit is processed in the gain control module 608. The module computes gain factors to be applied to the subband samples before recombination in the synthesis filterbank block 609. The output from the synthesis filterbank is thus an envelope adjusted high band audio signal. This signal is added to the output from the delay unit 605, which is fed with the low band audio signal. The delay compensates for the processing time of the high band signal. Finally, the obtained digital wideband signal is converted to an analogue audio signal in the digital to analogue converter 610.

CLAIMS

1. A method for spectral envelope coding in a source coding system where said system comprises an encoder representing all operations performed prior to storage or transmission, and a decoder representing all operations performed after storage or transmission, **characterised by**:

at said encoder, perform a statistical analysis of the input signal,

based on the outcome of said analysis, select the instantaneous time and frequency resolution to be used in the spectral envelope representation,

using said resolution, generate data representing said spectral envelope,

transmit said data together with a control signal describing said resolution, and

at said decoder, using said control signal and said data in the synthesis of the output signal.

2. A method according to claim 1, **characterised in** that said instantaneous time and frequency resolution is obtained by grouping of elements in a time/frequency representation of said input signal, and calculating a scalefactor for every one of said groups.

3. A method according to claim 2, **characterised in** that said time/frequency representation is generated by a filterbank.

4. A method according to claim 3, **characterised in** that said filterbank is of fixed size.

5. A method according to claim 1, **characterised in** that said data is generated by a linear predictor.

6. A method according to claim 1, **characterised in** that said analysis employs a transient detector.

7. A method according to claim 6, **characterised in** that said instantaneous resolution is switched from a default combination of higher frequency resolution and lower time resolution to a combination of lower frequency resolution and higher time resolution at the onset of a transient.

8. A method according to claim 1, **characterised in** that said control signal describes positions within a granule of constant update rate, generated by said analysis, and said instantaneous resolution is chosen based on the positions within current and neighbouring granules, by the use of rules available to both said encoder and said decoder.

9. A method according to claim 8, **characterised in** that at most one position per granule is signalled.

10. A method according to claim 1, **characterised in** that said control signal describes borders within a granule of constant update rate, said instantaneous resolution is signalled once per border, and that one set of data is sent per border within a granule.

11. A method according to claim 2, **characterised in** that said scalefactors are coded both in the time and frequency direction, the momentarily most beneficial direction is determined, said most beneficial direction is used for said transmission.

12. A method according to claim 11, **characterised in** that the direction which generates the least coding error for a given number of bits is chosen.

13. A method according to claim 11, **characterised in** that the direction which generates the least number of bits for a given coding error is chosen.

14. A method according to claim 13, **characterised in** that lossless coding is employed and separate tables are used for said time and frequency directions, in particular where said tables are used for selection of coding direction.

15. An apparatus for encoding of a spectral envelope of a signal to be decoded by a decoder, **characterised by**:
   means for performing a statistical analysis of the input signal,
   means for selection of the instantaneous time and frequency resolution to be used in a spectral envelope representation of said input signal, based on the outcome of said analysis,
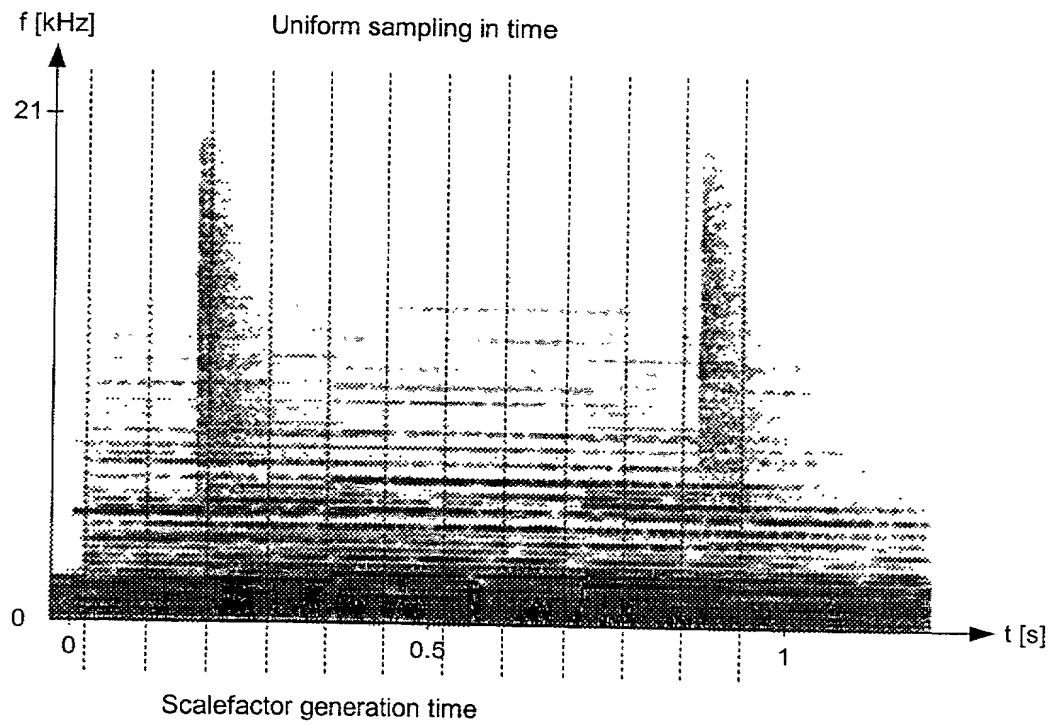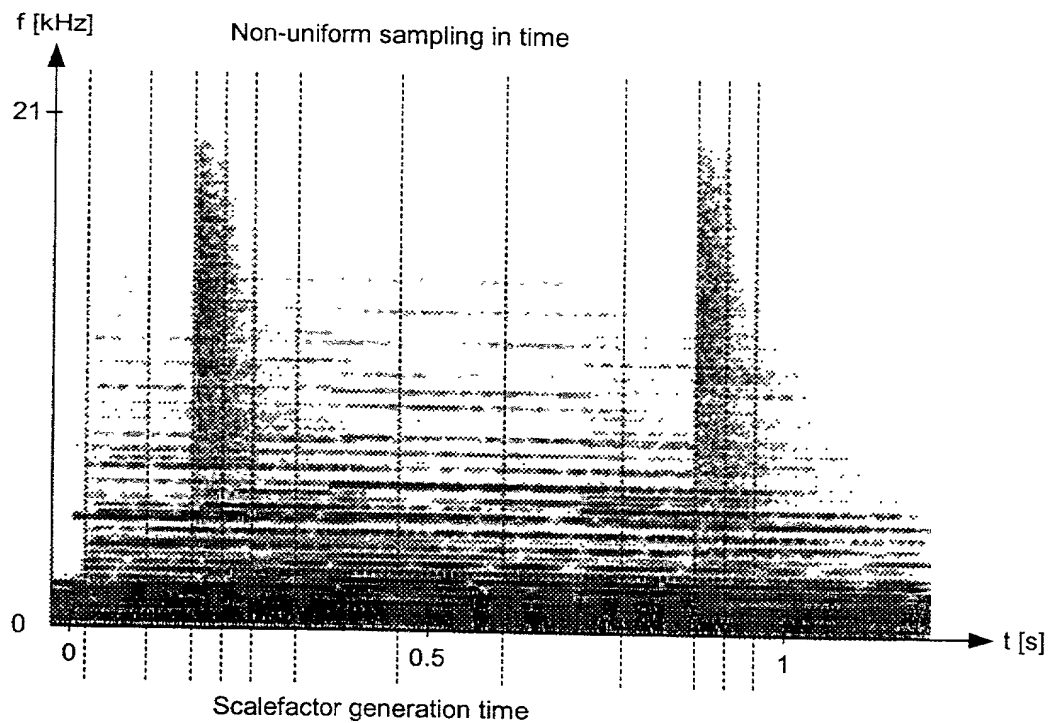   means for generation of data representing said spectral envelope, using said resolution, and
   means for transmission of said data together with a control signal describing said resolution.
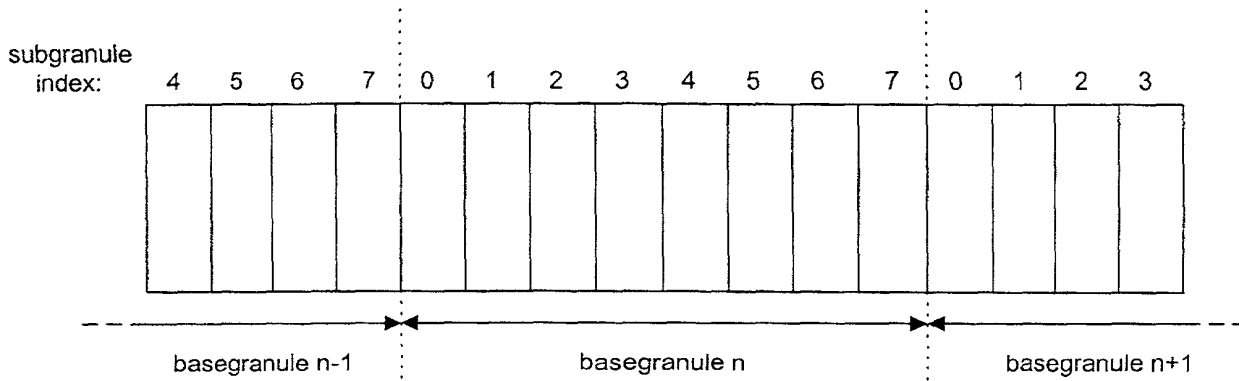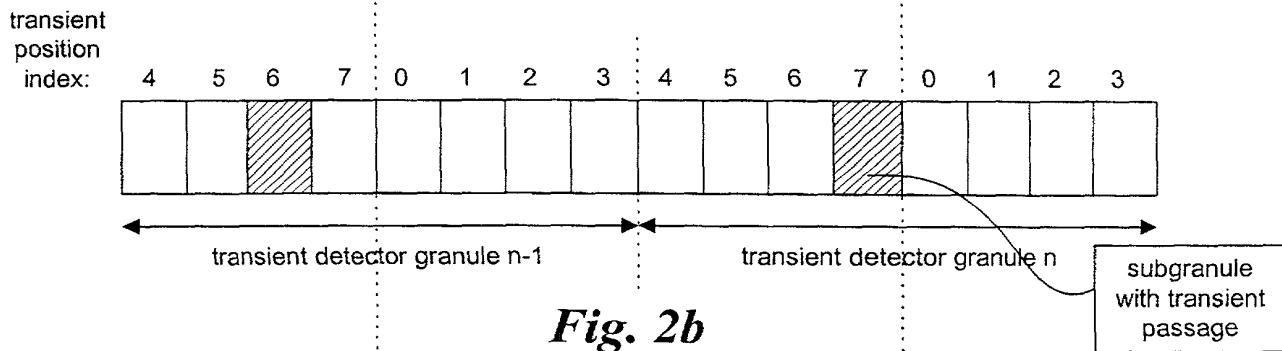
16. An apparatus for decoding of a spectral envelope of a signal encoded by an encoder, **characterised by**:
   means for interpretation of a received control signal in order to determine the instantaneous time and frequency resolution used in a spectral envelope representation of an encoded signal,
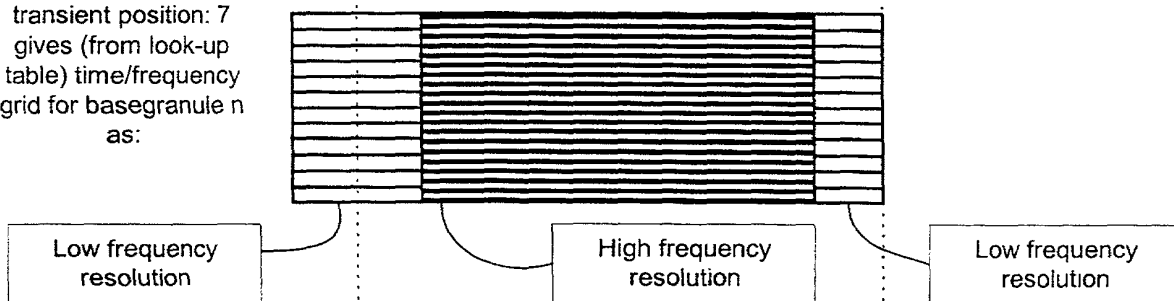   means for decoding of received envelope data based on said spectral envelope representation, using said control signal, and
   means for using said decoded envelope data in the synthesis of the output signal.

Fig. 1a

Fig. 1b

subgranule
index:

| 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 |

basegranule n-1　　　　　　　　basegranule n　　　　　　　　basegranule n+1

Fig. 2a

transient
position
index:

| 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 0 | 1 | 2 | 3 |

transient detector granule n-1　　　　　　transient detector granule n

subgranule
with transient
passage

Fig. 2b

Preceding transient
position: 6, current
transient position: 7
gives (from look-up
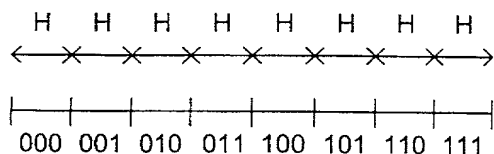table) time/frequency
grid for basegranule n
as:

Low frequency
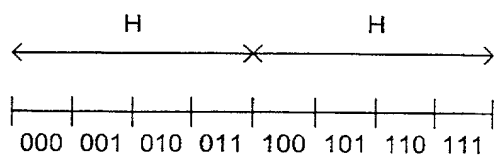resolution
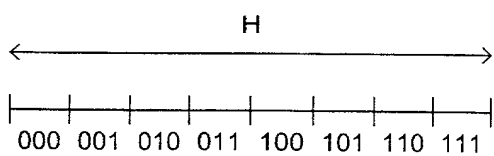
High frequency
resolution

Low frequency
resolution

Fig. 2c

H   H   H   H   H   H   H   H

000 001 010 011 100 101 110 111

Fig. 3a

H   H

000 001 010 011 100 101 110 111

Fig. 3b

H

000 001 010 011 100 101 110 111

Fig. 3c

H   L   L

T

000 001 010 011 100 101 110 111

Fig. 3d

H   L   L   H

T

000 001 010 011 100 101 110 111

Fig. 3e

P   L   L   H

T

000 001 010 011 100 101 110 111
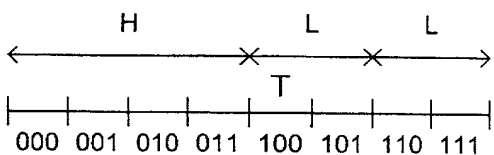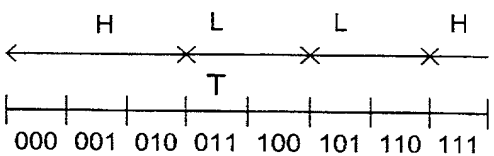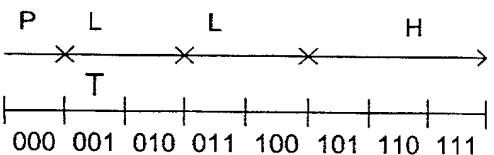
Fig. 3f

	Reference-System
Matrix Rel Size	1
# Control Bits	0

	Border-System	Position-System
Matrix Rel Size	0.25	
Uniform	1	
LowTime	0	
Borders	-	N/A
LowFreq	-	N/A
TranPos	N/A	-
# Control Bits	2	2

	Border-System	Position-System
Matrix Rel Size	0.125	
Uniform	1	
LowTime	1	
Borders	-	N/A
LowFreq	-	N/A
TranPos	N/A	-
# Control Bits	2	2

	Border-System	Position-System
Matrix Rel Size	0.25	
Uniform	0	
LowTime	-	
Borders	10001010	N/A
LowFreq	011	N/A
TranPos	N/A	100
# Control Bits	12	4

	Border-System	Position-System
Matrix Rel Size	0.375	
Uniform	0	
LowTime	-	
Borders	10010101	N/A
LowFreq	0110	N/A
TranPos	N/A	011
# Control Bits	13	4

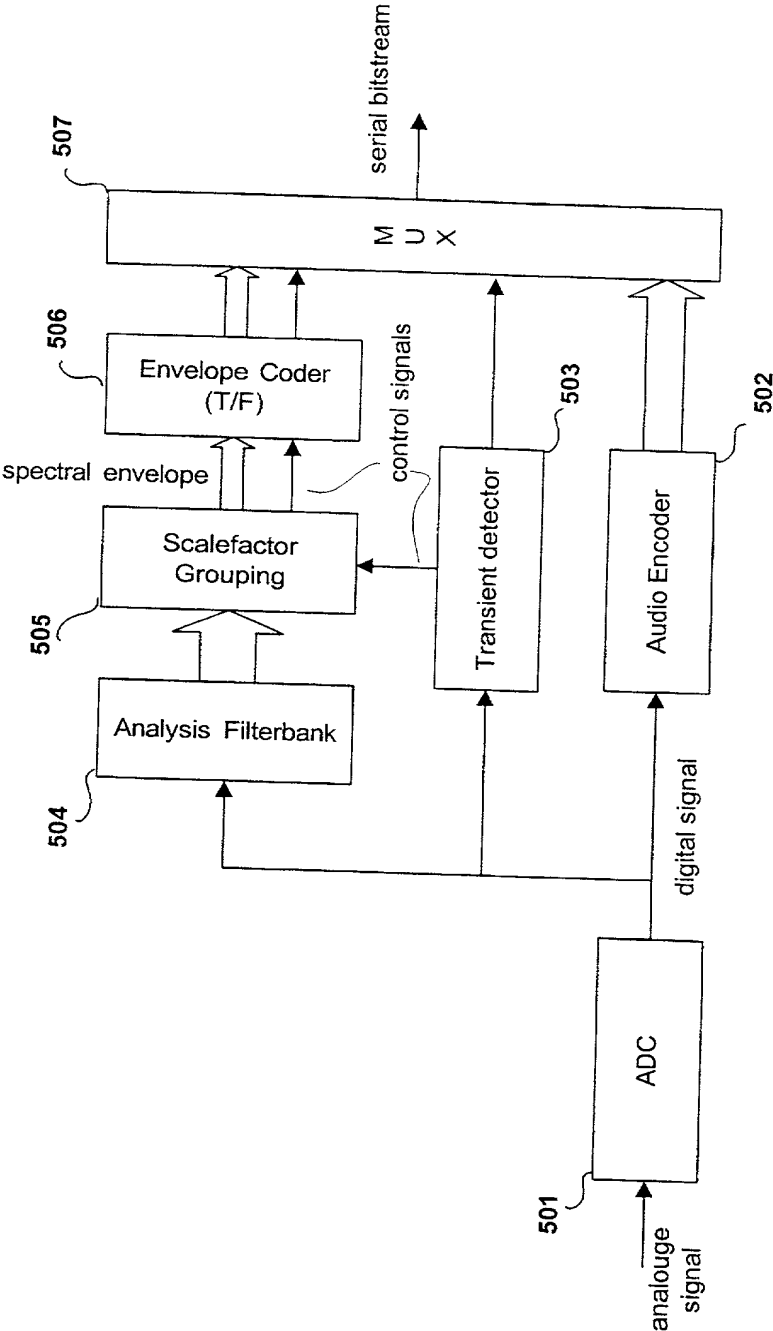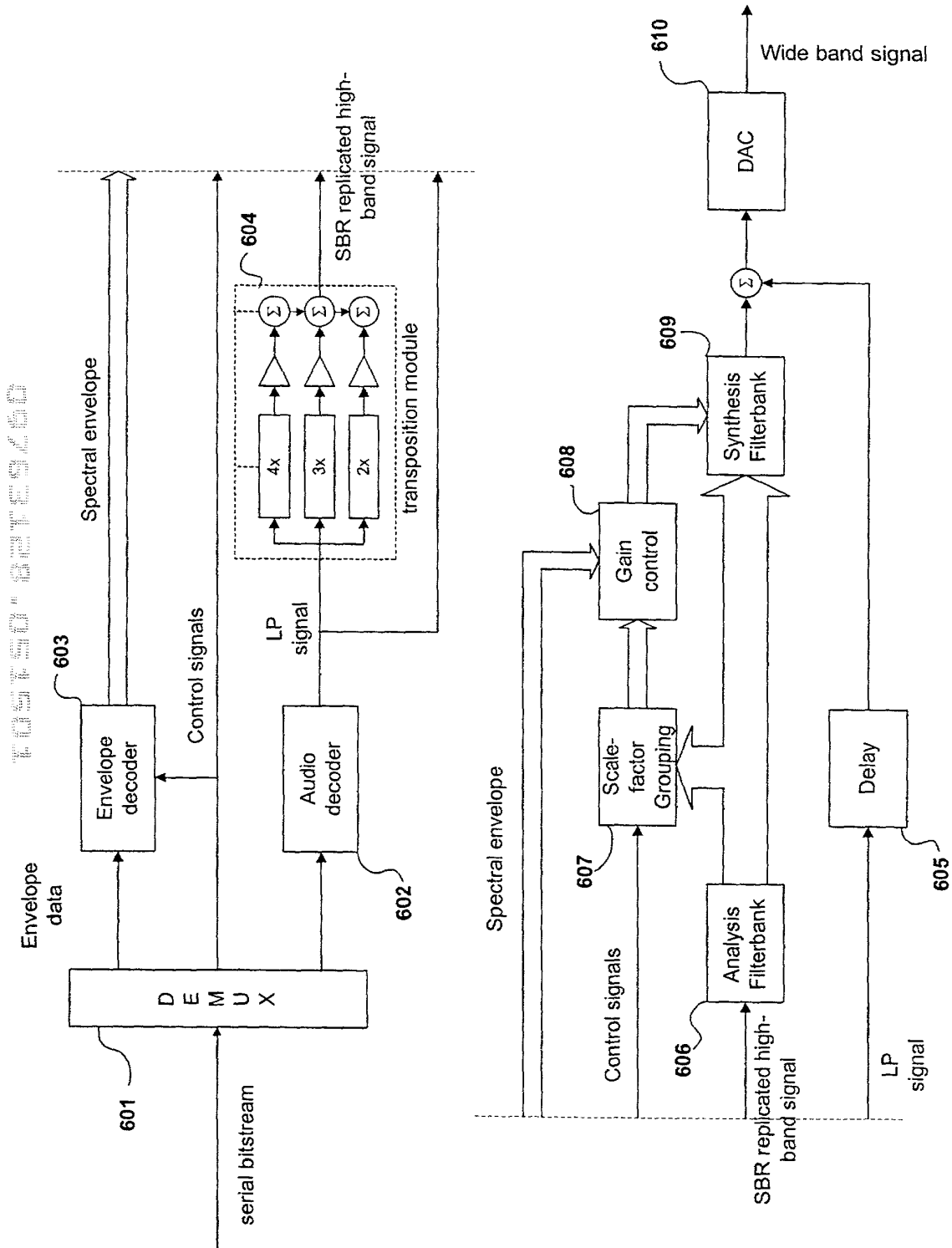|	Border-System	Position-System
Matrix Rel Size	0.25	
Uniform	0	
LowTime	-	
Borders	01010100	N/A
LowFreq	110	N/A
TranPos	N/A	001
# Control Bits	12	4

Fig. 4

Fig. 5

6/6
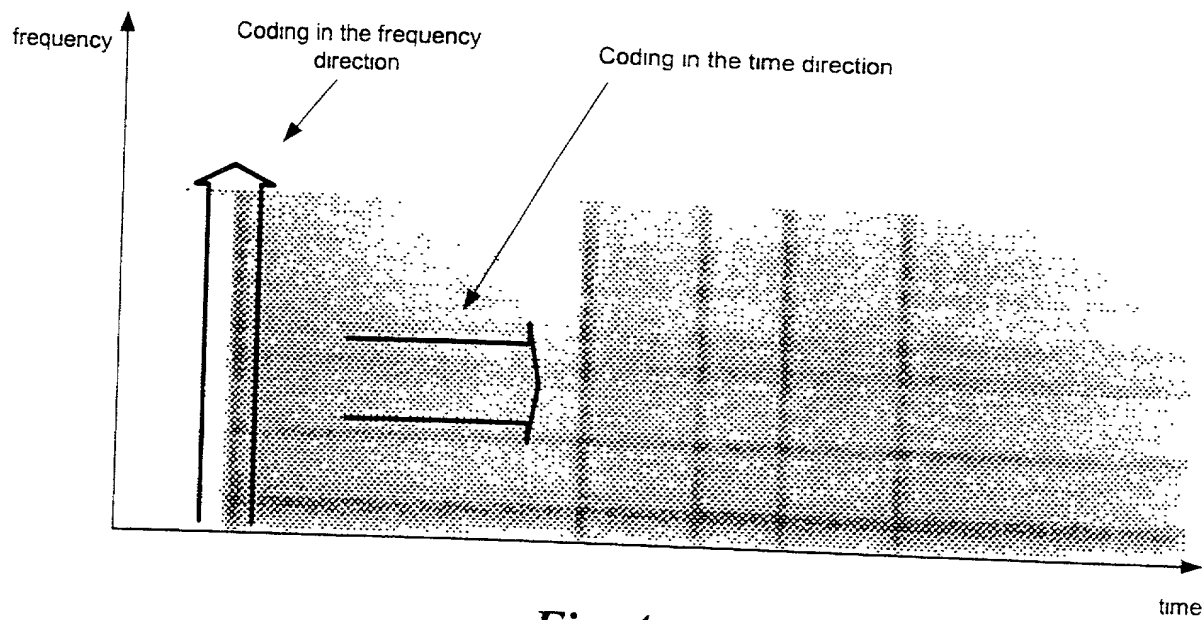
Fig. 6

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COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT AND DESIGN APPLICATIONS

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name, that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Insert Title:

EFFICIENT SPECTRAL ENVELOPE CODING USING VARIABLE TIME/FREQUENCY RESOLUTION AND TIME/FREQUENCY SWITCHING

Fill in Appropriate
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For Use Without
Specification
Attached:

the specification of which is attached hereto If not attached hereto,
the specification was filed on February 20, 2001 _____ as
United States Application Number 09/763,128 _____,
and amended on _____ (if applicable) and/or
the specification was filed on January 26, 2000 _____ as PCT
International Application Number PCT/SE00/00158 _____, and was
amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56
I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.
I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)   Priority Claimed

9903552-9	Sweden	October 1, 1999	☒ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)		
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below

Insert Provisional
Application(s):
(if any)

(Application Number)   (Filing Date)

(Application Number)   (Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More than 12 Months (6 Months for Designs) Prior to the Filing Date of This Application·

Country   Application Number   Date of Filing (Month/Day/Year)

Insert Requested
Information:
(if appropriate)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and/or PCT application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States and/or PCT application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application

Insert Prior U.S.
Application(s):
(if any)

(Application Number)   (Filing Date)   (Status - patented, pending, abandoned)

(Application Number)   (Filing Date)   (Status - patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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